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Graphene quantum dot antioxidant and proautophagic actions protect SH-SY5Y neuroblastoma cells from oxidative stress-mediated apoptotic death

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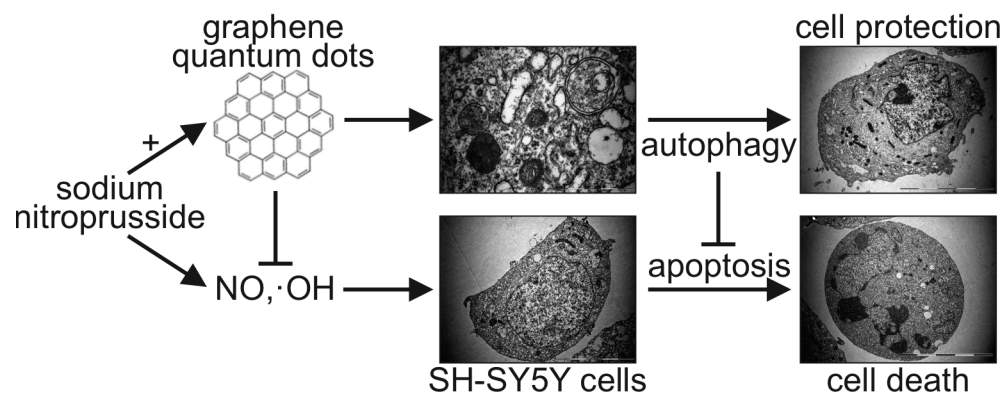
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1 **Graphene quantum dot antioxidant and proautophagic actions protect SH-SY5Y**  
2 **neuroblastoma cells from oxidative stress-mediated apoptotic death**

3

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## 1 Abstract

2 We investigated the ability of graphene quantum dot (GQD) nanoparticles to protect SH-  
3 SY5Y human neuroblastoma cells from oxidative/nitrosative stress induced by iron-nitrosyl  
4 complex sodium nitroprusside (SNP). GQD reduced SNP cytotoxicity by preventing  
5 mitochondrial depolarization, caspase-2 activation, and subsequent apoptotic death. Although  
6 GQD diminished the levels of nitric oxide (NO) in SNP-exposed cells, NO scavengers  
7 displayed only a slight protective effect, suggesting that NO quenching was not the main  
8 protective mechanism of GQD. GQD also reduced SNP-triggered increase in the intracellular  
9 levels of hydroxyl radical ( $\cdot\text{OH}$ ), superoxide anion ( $\text{O}_2^{\cdot-}$ ), and lipid peroxidation.  
10 Nonselective antioxidants,  $\cdot\text{OH}$  scavenging, and iron chelators, but not superoxide dismutase,  
11 mimicked GQD cytoprotective activity, indicating that GQD protect cells by neutralizing  
12  $\cdot\text{OH}$  generated in the presence of SNP-released iron. Cellular internalization of GQD was  
13 required for optimal protection, since a removal of extracellular GQD by extensive washing  
14 only partly diminished their protective effect. Moreover, GQD cooperated with SNP to  
15 induce autophagy, as confirmed by the inhibition of autophagy-limiting Akt/PRAS40/mTOR  
16 signaling and increase in autophagy gene transcription, protein levels of proautophagic  
17 beclin-1 and LC3-II, formation of autophagic vesicles, and degradation of autophagic target  
18 p62. The antioxidant activity of GQD was not involved in autophagy induction, as  
19 antioxidants N-acetylcysteine and dimethyl sulfoxide failed to stimulate autophagy in SNP-  
20 exposed cells. Pharmacological inhibitors of early (wortmannin, 3-methyladenine) or late  
21 stages of autophagy ( $\text{NH}_4\text{Cl}$ ) efficiently reduced the protective effect of GQD. Therefore, the  
22 ability of GQD to prevent the *in vitro* neurotoxicity of SNP depends on both  $\cdot\text{OH}/\text{NO}$   
23 scavenging and induction of cytoprotective autophagy.

24 **Keywords:** graphene quantum dots; sodium nitroprusside; neurotoxicity; oxidative stress;  
25 hydroxyl radical; nitric oxide; autophagy

26

## 1 Introduction

2

3 Excessive amounts of reactive oxygen species (ROS) and reactive nitrogen species (RNS)  
4 can cause oxidation and nitration of proteins, lipid peroxidation, DNA damage, ion channel  
5 modification, and dissipation of mitochondrial membrane potential, eventually leading to  
6 apoptotic or necrotic cell death [1]. The brain is particularly sensitive to oxidative stress due  
7 to high consumption of oxygen, high levels of fatty acids susceptible to peroxidation, and a  
8 weak antioxidant capacity [2]. ROS and nitric oxide (NO) production by astrocytes and  
9 microglia has been implicated in the damage of neuronal and glial cells in Parkinson's  
10 disease, Alzheimer's disease, multiple sclerosis and brain ischemia [3,4]. In the reaction with  
11 superoxide ( $O_2^{\cdot-}$ ), NO forms peroxynitrite ( $ONOO^-$ ), which further decomposes into highly  
12 toxic nitrogen dioxide ( $NO_2^{\cdot}$ ) and hydroxyl radical ( $\cdot OH$ ) [5]. Moreover,  $\cdot OH$ ,  $O_2^{\cdot-}$ , and  
13 hydrogen peroxide ( $H_2O_2$ ) are generated as by-products of normal cellular respiration and  
14 aberrant metabolic processes that utilize molecular oxygen [6]. The complex interactions  
15 between oxidative and nitrosative stress in the induction of cell death can be mimicked *in*  
16 *vitro* by sodium nitroprusside (SNP), an iron-nitrosyl complex consisting of a ferrous ion  
17 surrounded by five cyanide moieties and a nitrosyl group [7]. In the cell culture, SNP  
18 generates both NO and superoxide [8], causing NO- or peroxynitrite-dependent neuronal  
19 death [9-12]. Hydroxyl radical produced in the Fenton reaction involving the iron released  
20 from SNP has also been found to contribute to its *in vitro* neurotoxicity [13,14].

21

22 Antioxidant therapy, although a promising concept based on evidence of oxidative stress  
23 involvement in many diseases, including neurodegenerative and neuroinflammatory  
24 disorders, largely failed to fulfill the initial expectations. This was mainly due to relatively  
25 poor bioavailability, biocompatibility, and antioxidant activity of currently available

1 compounds [15]. Accordingly, the development of new, more efficient antioxidants has  
2 drawn intensive attention in recent years, with graphene quantum dots (GQD) being among  
3 the most promising candidates. GQD are up to 100 nm wide, single-to-several layer-thick  
4 oval sheets of graphene, a single layer of  $sp^2$ -hybridized carbon atoms in a honeycomb  
5 structure [16]. They have excellent biocompatibility, broad antioxidant activity superior to  
6 that of classic antioxidants, and unique ability to mimic the action of cellular antioxidant  
7 enzyme superoxide dismutase [17,18]. The ability of GQD to enter various cell types,  
8 including neurons, is well documented [19-23]. While photoactivated GQD generate ROS  
9 [21,24-26], in the absence of photoexcitation they act as antioxidants and protect cells by  
10 scavenging  $\cdot OH$ ,  $O_2^{\cdot -}$  [24,25], and NO [27]. GQD [21,28,29] and SNP [30-32] also induce  
11 macroautophagy (hereafter autophagy), a process in which damaged organelles and protein  
12 aggregates are sequestered within double-membrane autophagosomes, which subsequently  
13 fuse with lysosomes where autophagic cargo is degraded [33]. Autophagy is negatively  
14 regulated by Akt/mechanistic target of rapamycin (mTOR) signaling pathway and protects  
15 cells from proteotoxic, oxidative, metabolic, and drug-induced stress [33]. Neurons are  
16 particularly vulnerable to slowdown in autophagy-dependent proteolytic clearance, and the  
17 accumulation of misfolded and damaged proteins in many neurodegenerative diseases is  
18 associated with defective autophagy [34,35].

19  
20 To the best of our knowledge, the effect of GQD on SNP neurotoxicity has not been  
21 investigated thus far. Based on the above findings, we hypothesized that GQD might protect  
22 neuronal cells from SNP-triggered oxidative/nitrosative stress by acting as both antioxidants  
23 and autophagy inducers. Indeed, we here demonstrate for the first time the ability of GQD to  
24 prevent apoptotic death of SNP-treated SH-SY5Y neuroblastoma cells by scavenging  $\cdot OH$

1 and to a lesser extent NO, as well as by stimulating cytoprotective autophagy independently  
2 of their antioxidant activity.

3

#### 4 **Material and methods**

5

##### 6 *Synthesis and characterization of GQD*

7 GQD were produced by electrochemical oxidation of graphite rods immersed in 3 mass % of  
8 NaOH in ethanol [36]. Synthetic conditions and cleaning phases are described elsewhere  
9 [37]. UV/Vis spectra (200 to 800 nm) of GQD sonicated in demineralized water (0.25  
10 mg/mL) were recorded in the air environment at room temperature on GBC Cintra 6  
11 spectrophotometer (GBC, Dandenong, Australia), using quartz cell with 1 cm path length and  
12 4 mL volume. The chemical structure of GQD powder was investigated by Fourier  
13 Transform-InfraRed (FTIR) spectroscopy, using Nicolet 6700 FTIR instrument (Thermo  
14 Fisher Scientific, Waltham, MA) in attenuated total reflection mode and the spectral  
15 resolution set at  $2\text{ cm}^{-1}$ . Photoluminescent properties of GQD were investigated using Horiba  
16 Jobin Yvon Fluoromax-4 spectrometer (Horiba, Kyoto, Japan). Water dispersion of GQD  
17 (0.25 mg/mL) was placed in a quartz cell with a 1 cm path length and 4 mL volume, and the  
18 spectra were recorded in the air environment at room temperature, using wavelengths from  
19 300 to 400 nm for the excitation. For the height and lateral size measurement, GQD in  
20 demineralized water (0.25 mg/mL) were deposited onto mica substrate by spin coating and  
21 investigated by Atomic Force Microscopy (AFM) using a Quesant microscope (Agoura Hills,  
22 CA) working in the tapping mode and air environment at  $20^{\circ}\text{C}$ . A Q-WM300 monolithic  
23 silicon probe for non-contact high-frequency applications and standard silicon tips (force  
24 constant of 40 N/m) (Nano and More GmbH, Wetzlar, Germany) were used for the imaging,  
25 and the open-source Gwyddion software (<http://gwyddion.net>) was used for the profile

1 analysis. GQD structure and morphology were additionally analyzed by high-resolution  
2 transmission electron microscopy (HR-TEM) using a JEOL JEM-2100F microscope (JEOL,  
3 Peabody, MA). Water dispersion of GQD (1 mg/mL) was deposited using drop-casting onto  
4 carbon-laced copper grids, and ImageJ software was used to investigate particles in HR-TEM  
5 images.

6

### 7 *Radical scavenging assays*

8 The general antioxidant capacity of GQD was assessed by measuring their ability to reduce  
9 2,2-diphenyl-1-picrylhydrazyl (DPPH), a purple-colored free stable radical that loses its color  
10 when reduced by antioxidants. GQD (50-400  $\mu\text{g/mL}$ ) dissolved in TRIS buffer (pH 7.4) were  
11 mixed with an equal volume of DPPH (50  $\mu\text{M}$ ) in MeOH. After 30 min, the absorbance of  
12 the remaining DPPH was measured at 517 nm using a microplate reader, and the results were  
13 expressed as the % of control containing only DPPH. The ability of GQD to scavenge Fenton  
14 reaction-derived hydroxyl radical was determined by mixing 1 mL of GQD (100 - 400  
15  $\mu\text{g/mL}$ ) in PBS with 2 mL of the reaction mixture containing 1 mL of 1.5 mM  $\text{FeSO}_4$ , 0.7 mL  
16 of 6 mM  $\text{H}_2\text{O}_2$ , and 0.3 mL of 20 mM sodium salicylate [38]. After 1 h at 37°C, the  
17 absorbance of the hydroxylated salicylate complex was measured at 570 nm using a  
18 microplate reader. The results were expressed as the % of the control value (without GQD),  
19 which was arbitrarily set to 100%. Superoxide anion-scavenging activity of GQD was  
20 determined using alkaline DMSO (1 mM NaOH in DMSO) as an  $\text{O}_2^{\bullet-}$  generating system  
21 [39]. The level of  $\text{O}_2^{\bullet-}$  was assessed by NBT assay, in which NBT reacts with the  $\text{O}_2^{\bullet-}$  to  
22 form a blue NBT-diformazan product. In brief, GQD (100 - 400  $\mu\text{g/mL}$ ) were mixed with  
23 alkaline DMSO and NBT solution (0.1 mg/mL), and color development was measured at 570  
24 nm using a microplate reader. The results were expressed as the % of the control value  
25 (without GQD), which was arbitrarily set to 100%.



## 1 *Electron paramagnetic resonance (EPR) analysis*

2 For the EPR analysis of GQD quenching activity towards  $\cdot\text{OH}$ ,  $\text{O}_2^{\cdot-}$  and NO radicals, the  
3 following well known chemical generators were used: Fenton reaction for  $\cdot\text{OH}$ ,  
4 riboflavin/light reaction for  $\text{O}_2^{\cdot-}$ , and SNP for NO. All chemicals (analytical grade or higher)  
5 were used as received from Merck (Darmstadt, Germany) or Ramidus AB (Lund, Sweden)  
6 (for sarcosine-N-dithiocarbamate; DTCS) without any further purification, and spin-trap 5-  
7 (Diethoxyphosphoryl)-5-methyl-1-pyrroline-N-oxide (DEPMPO) was purchased from Focus  
8 Biomolecules (Plymouth Meeting, PA) and purified as previously described [40]. To detect  
9 the quenching activity of GQD towards  $\cdot\text{OH}$  radicals, the Fenton reaction in the presence of  
10 spin-trap DEPMPO was used according to previously developed methodology [41,42]. This  
11 spin-trap was chosen because of the good selectivity and a long DEPMPO/OH spin-adduct  
12 half-life (132 min) [43]. To avoid the influence of the spin-adduct natural degradation process  
13 on the EPR signal, it was important to record EPR spectra immediately after the Fenton  
14 reaction was initiated. In brief, 30  $\mu\text{l}$  of sample containing 26  $\mu\text{l}$  of GQD solution (final  
15 concentration 0.87 mg/mL), 2  $\mu\text{l}$  of  $\text{H}_2\text{O}_2$  (final concentration 0.35 mM), 1  $\mu\text{l}$  of DEPMPO  
16 (final concentration 3.5 mM) was transferred into the gas-permeable Teflon tube, and 1  $\mu\text{l}$  of  
17  $\text{FeSO}_4$  (final concentration 0.15 mM) was applied just before the EPR spectra was acquired.  
18 Recordings were made using the following experimental settings: microwave power 10 mW,  
19 microwave frequency 9.85 GHz, modulation frequency 100 kHz, modulation amplitude 1 G.  
20 For the estimation of the  $\text{O}_2^{\cdot-}$  radical quenching activity of GQD, riboflavin/light generating  
21 system was employed [41,42]. 23  $\mu\text{l}$  of GQD solution (final concentration 0.77 mg/mL) was  
22 added to the mixture containing 3.5  $\mu\text{L}$  of water, 5  $\mu\text{L}$  of aqueous solution of  
23 diethylenetriaminepentaacetic acid (DTPA; final concentration 4 mM) and 1  $\mu\text{L}$  of DEPMPO  
24 (final concentration 3.5 mM). Upon the addition of 7.5  $\mu\text{L}$  of aqueous solution of riboflavin  
25 (final concentration 0.75 mM), the sample was irradiated with 30 W UV light during 30 s and

1 transferred into the gas-permeable Teflon tube. EPR spectra were recorded 2 min after the  
2 riboflavin addition using the following experimental settings: microwave power 10 mW,  
3 microwave frequency 9.85 GHz, modulation frequency 100 kHz, modulation amplitude 2 G,  
4 5 accumulations. To assess the ability of GQD to quench NO radicals, the solution consisting  
5 of GQD, SNP as an NO radical-generating system, and Fe(DTCS)<sub>2</sub> complex as a spin-  
6 trapping agent was used [41,44]. In brief, 25  $\mu$ l of sample which contained 19  $\mu$ l of GQD  
7 solution (final concentration 0.63 mg/mL) and 6  $\mu$ l of Fe(DTCS)<sub>2</sub> complex (final  
8 concentration 0.1 M) was transferred into the gas-permeable Teflon tube and 5  $\mu$ l of SNP  
9 (final concentration 9 mM) was added just before the EPR spectra was acquired. The NO-  
10 Fe(DTCS)<sub>2</sub> spin-adduct EPR signal was measured using the following experimental settings:  
11 microwave power 10 mW, microwave frequency 9.85 GHz, modulation frequency 100 kHz,  
12 modulation amplitude 2 G. The control systems for all three radical types were made the  
13 same way as described above, but without GQD. To get the best insight into the amount of  
14 free-radicals which were present in the explored systems, all experimental EPR spectra were  
15 computer-simulated (Bruker SpinFit software) using simulation parameters indicated in [41].  
16 The results obtained from the double-integration of simulated EPR spectra were used to  
17 calculate the quenching activity of GQD.

18

### 19 *Cell culture*

20 All reagents were purchased from Merck KgaA (Darmstadt, Germany), unless stated  
21 otherwise. The human neuroblastoma cell line SH-SY5Y (ATCC CRL-2266) was grown at  
22 37°C in a humidified atmosphere with 5% CO<sub>2</sub>, in a Modified Eagle Medium + F12 cell  
23 culture medium (1:1) supplemented with 10% fetal calf serum, L-glutamine (2 mM),  
24 nonessential amino acids, penicillin (100 IU/mL), and streptomycin (100  $\mu$ g/mL). The  
25 trypsinized cells were incubated in 96-well flat-bottom cell culture plates ( $3 \times 10^4$  cells/well)

1 for the cell viability assessment, 24-well plates ( $3 \times 10^5$  cells/well) for the flow cytometric  
2 analysis, or 90 mm cell culture plates ( $7 \times 10^6$  cells) for the immunoblotting,  
3 malondialdehyde (MDA) assay, and electron microscopy. Cells were rested for 24 h and then  
4 treated with GQD and/or SNP in the presence or absence of caspase inhibitor Q-VD-Oph,  
5 NO scavenger 4,4,5,5-tetramethylimidazole-1-oxyl 3-oxide (PTIO), ONOO<sup>-</sup> scavenger uric  
6 acid, iron chelators DTPA and 4,7-diphenyl-1,10-phenanthrolinedisulfonic acid (BPDSA), or  
7 autophagy inhibitors bafilomycin A<sub>1</sub>, NH<sub>4</sub>Cl, wortmannin, and 3-methyladenine.  
8 Alternatively, cells were treated with NO donor diethylamine NONOate (DEA-NONOate)  
9 instead of SNP, while GQD were replaced with antioxidants glutathione, N-acetylcysteine  
10 (NAC), dimethyl sulfoxide (DMSO),  $\alpha$ -tocopherol, or superoxide dismutase (SOD). The  
11 incubation times and concentrations of agents are stated in figure legends and/or figures.

12

### 13 *Cell viability assays*

14 The staining of adherent cells with crystal violet and measurement of mitochondrial  
15 dehydrogenase-dependent reduction of 3-(4,5-dimethylthiazol-2-yl)-2, 5-diphenyltetrazolium  
16 bromide (MTT) to formazan were used to determine cell viability as previously described  
17 [45]. The cell viability was expressed as % of the control value (untreated cells), which was  
18 arbitrarily set to 100%, and the IC<sub>50</sub> values were calculated using the Prism (version 8)  
19 software (GraphPad Software, San Diego, CA). Alternatively, dead cells were detected based  
20 on their permeability for the trypan blue stain. After the treatment, cells were collected by  
21 trypsinization, stained with 0.2% trypan blue solution, and both live (trypan blue<sup>-</sup>) and dead  
22 (trypan blue<sup>+</sup>) cells were counted using a hemocytometer.

23

24

25

## 1 *Apoptosis analysis*

2 Flow cytometry was used to assess nuclear DNA fragmentation and depolarization of  
3 mitochondrial membrane as markers of apoptotic cell death. For the DNA fragmentation  
4 analysis, cells were fixed in 70% ethanol at 4°C and incubated with RNase (50 µg/mL) and  
5 DNA-binding dye propidium iodide (40 µg/mL) in PBS at 4°C overnight. The proportion of  
6 cells in different cell cycle phases, including hypodiploid apoptotic cells in sub-G<sub>0</sub>/G<sub>1</sub>  
7 compartment, was determined by measuring the red fluorescence of propidium iodide on a  
8 FACSCalibur flow cytometer with CellQuest Pro software (BD Biosciences, Heidelberg,  
9 Germany), using FL2-W vs. FL2-A dot plot to exclude cell aggregates. The mitochondrial  
10 depolarization was assessed using JC-1 (5',6,6'-tetrachloro-1,1',3,3'-  
11 tetraethylbenzimidazolylcarbocyanine iodide), a lipophilic cation that forms orange-red  
12 fluorescent aggregates upon binding to a polarized mitochondrial membrane. If the  
13 mitochondrial membrane potential is disturbed, the dye cannot access the transmembrane  
14 space and remains or reverts to its green monomeric form. The cells were stained with JC-1  
15 (2 µM) in cell culture medium at 37°C, 5% CO<sub>2</sub>, for 15 min, washed and resuspended in  
16 PBS, and the green monomers and red aggregates were detected using FACSCalibur flow  
17 cytometer and CellQuest Pro software. The results are presented as the ratio between the  
18 green and red fluorescence of JC-1 (mean FL1/FL2), the increase of which reflects  
19 mitochondrial depolarization. The FL1/FL2 value in untreated control cells was arbitrarily set  
20 to 1.

21

## 22 *TEM analysis of cellular morphology*

23 For the analysis of ultrastructural morphology by TEM, trypsinized cells were fixed with 3%  
24 glutaraldehyde in cacodylate buffer and post-fixed in 1% osmium tetroxide. After  
25 dehydration in graded alcohols, cells were embedded in Epoxy medium. The ultra-thin

1 sections were stained with uranyl acetate and lead citrate for examination on a Morgagni  
2 268D electron microscope (FEI, Hillsboro, OR). The images were acquired using a  
3 MegaView III CCD camera equipped with iTEM software (Olympus Soft Imaging Solutions,  
4 Münster, Germany).

5

#### 6 *Detection of intracellular acidic vesicles*

7 The staining with a pH-sensitive dye acridine orange was used to determine intracellular  
8 acidification as a measure of number/volume of acidic vesicles (lysosomes and  
9 autolysosomes). After the treatment, cells were washed with PBS, stained with acridine  
10 orange (1  $\mu$ M) for 15 min at 37°C, trypsinized, and analyzed by flow cytometry using a  
11 FACSCalibur flow cytometer and CellQuest software. The acidic lysosomal content was  
12 quantified as the red/green fluorescence ratio (FL3/FL1), and the results were presented  
13 relative to the value obtained in untreated cells, which was arbitrarily set to 1.

14

#### 15 *Lipid peroxidation*

16 Malondialdehyde, an indicator of lipid peroxidation, was measured using a colorimetric  
17 thiobarbituric acid assay. The binding of thiobarbituric acid to malondialdehyde-bis-  
18 (dimethylacetal)1,1,3,3-tetramethoxypropan, formed during lipid peroxidation, produces a  
19 chromogenic complex. The homogenate obtained by lysing the cells with 10% ice-cold  
20 trichloroacetic acid was centrifuged at 800 g for 10 min, and the supernatant was mixed (1:1)  
21 with 0.6% 2-thiobarbituric acid and heated in a boiling water for 10 min. The absorbance was  
22 measured at 535 nm using a microplate reader, and the results are expressed as the fold  
23 change of absorbance intensity relative to untreated cells.

24

25

1 *Detection of intracellular and extracellular ROS and RNS*

2 Intracellular ROS production was determined by measuring the fluorescence intensity emitted  
3 by a redox-sensitive dye dihydrorhodamine (DHR), while the production of RNS was  
4 measured using NO/ONOO<sup>-</sup>-sensitive fluorochrome diaminofluorescein (DAF). Briefly,  
5 DHR (2 μM) was added at the beginning, whereas DAF (20 μM) was added during the last  
6 30 min of treatment. After washing of cells in PBS, the mean intensity of green (FL1)  
7 fluorescence, corresponding to ROS/RNS production, was determined using a FACSCalibur  
8 flow cytometer and CellQuest software. Alternatively, DHR and DAF were used to determine  
9 ROS/RNS in the cell-free conditions, using Chameleon (Hidex, Turku, Finland) microplate  
10 fluorescence reader (excitation 488 nm, detection 535 nm). The intracellular levels of  
11 superoxide anion were measured by a modified version of a previously described assay for  
12 superoxide-dependent intracellular conversion of nitro blue tetrazolium (NBT) to formazan  
13 [46]. Briefly, after 15 min of incubation at 37°C with NBT (50 mM), cells were fixed in  
14 absolute ethanol and allowed to air dry. The cellular formazan was then solubilized with 1  
15 mM NaOH in DMSO, and the absorbance was measured at 570 nm using a microplate  
16 reader. Intracellular <sup>•</sup>OH levels were determined using a fluorometric Mitochondrial  
17 Hydroxyl Radical Detection Assay Kit (Abcam, Cambridge, UK), according to the  
18 manufacturer's instructions. Briefly, cells were incubated with OH580 probe for 1 h at 37°C  
19 before treatment. After washing with PBS, assay buffer was added to cells and red  
20 fluorescence signal (excitation 540 nm, detection 590 nm) was measured using a microplate  
21 fluorescence reader. Cellular production of NO was also determined by measuring the  
22 accumulation of NO end-product nitrite in the cell culture supernatants, using the Griess  
23 reagent. Briefly, 50 μl aliquots of cell culture supernatants were mixed with an equal volume  
24 of Griess reagent (mixture at 1:1 of 0.1% naphthylethylenediamine dihydrochloride and 1%  
25 sulfanilamide in 5% H<sub>3</sub>PO<sub>4</sub>) in a flat-bottom 96-well plate. After 10 min at room temperature,

1 the absorbance at 570 nm was measured using a microplate reader, and nitrite concentration  
2 was calculated from a NaNO<sub>2</sub> standard curve.

3

#### 4 *Immunoblot analysis*

5 Cells were lysed in the lysis buffer (30 mM Tris-HCl pH 8.0, 150 mM NaCl, 1% NP-40 and  
6 protease/phosphatase inhibitor cocktail; all from KGaA, Darmstadt, Germany), stored on ice  
7 for 30 min, centrifuged at 14000 g for 15 minutes at 4°C, and the supernatants were collected.  
8 Equal protein amounts from each sample were separated by sodium dodecyl sulfate-  
9 polyacrylamide gel electrophoresis and transferred to nitrocellulose membranes (Bio-Rad,  
10 Hercules, CA). Rabbit anti-human antibodies against cleaved caspase-2 (#2224), poly (ADP-  
11 ribose) polymerase 1 (PARP1; #9542), microtubule-associated protein 1 light chain 3B  
12 (LC3B; #2775), mTOR (#2983), phospho-mTOR (Ser2448; #2971), Akt (#9272), phospho-  
13 Akt (Thr308; #4056), proline-rich Akt substrate of 40 kDa (PRAS40; #2610), phospho-  
14 PRAS40 (Thr246; #2640), actin (#4967) (all from Cell Signaling Technology, Beverly, MA),  
15 sequestosome-1/p62 (NBP1-48320), and beclin-1 (NB500-249) (both from Novus  
16 Biologicals, Littleton, CO) were used as primary antibodies. Peroxidase-conjugated goat anti-  
17 rabbit IgG (#7074, Cell Signaling Technology) was used as a secondary antibody, and the  
18 specific protein bands were visualized by enhanced chemiluminescence using ChemiDoc MP  
19 Imaging System (Bio-Rad, Hercules, CA). The intensity of protein bands was measured by  
20 densitometry using Image Lab software (Bio-Rad) and the obtained results were expressed  
21 relative to the intensity of total protein signals (for phosphorylated proteins) or actin (for  
22 cleaved caspase-2, cleaved PARP1, LC3-II, beclin-1, and p62).

23

24

1 *Quantitative reverse transcription- polymerase chain reaction (RT-qPCR)*

2 Total RNA was extracted using RNeasy Protect Mini Kit (Qiagen, Hilden, Germany), and the  
3 reverse transcription reaction was performed using MuLV reverse transcriptase and random  
4 hexamers (Thermo Fisher Scientific, Waltham, MA), according to the manufacturer's  
5 instructions. RT-qPCR was performed in a Realplex<sup>2</sup> Mastercycler (Eppendorf, Hamburg,  
6 Germany) using MicroAmp Optical 96-well reaction plates, TaqMan Universal PCR Master  
7 Mix, and TaqMan primers/probes (all from Thermo Fisher Scientific) for human ATF4  
8 (Hs00909569\_g1), ATG3 (Hs00223937\_m1), ATG4B (Hs00367088\_m1), ATG5  
9 (Hs00169468\_m1), ATG7 (Hs00197348\_m1), ATG10 (Hs009197718\_m1), ATG14  
10 (Hs00208732\_m1), BCL2 (Hs00608023\_m1), BNIP3 (Hs00969291\_m1), GABARAP  
11 (Hs00925899\_g1), PIK3C3 (Hs00176908\_m1), UVRAG (Hs01075434\_m1), and the two  
12 housekeeping genes: TBP (Hs99999910\_m1) and HPRT1 (Hs99999909\_m1). The cycle of  
13 threshold (Ct) value of the geomean of the two housekeeping genes was subtracted from the  
14 Ct values of the target genes to obtain  $\Delta\text{Ct}$  values, and the  $\Delta\Delta\text{Ct}$  values were obtained by  
15 subtracting the  $\Delta\text{Ct}$  values of different treatments from the  $\Delta\text{Ct}$  values of the untreated  
16 control. The relative mRNA expression was determined as  $2^{-\Delta\Delta\text{Ct}}$ , and the results were  
17 presented as the fold change relative to the mRNA levels in the untreated control.

18

19 *Statistical analysis*

20 The statistical significance of the differences was analyzed by the t-test or one-way ANOVA  
21 followed by Tukey's test for multiple comparisons. A p value of less than 0.05 was  
22 considered statistically significant, and the compact letter display was used to label  
23 statistically significant differences (the same letter denotes no difference).

24

25



## 1 **Results**

2

### 3 *Characterization of GQD*

4

5 The optical, structural, and morphological properties of GQD used in the present study are  
6 presented in Figure 1. UV-Vis spectrum of GQD shows a band at 210 nm, which originates  
7 from  $\pi \rightarrow \pi^*$  transition in the aromatic C-C bonds, as well as the shoulder band at around 345  
8 nm that stems from  $n \rightarrow \pi^*$  transition of C=O bonds (Fig. 1A). In the FTIR spectrum of GQD,  
9 bands from O-containing functional groups are detected at 1700, 1360, and 1010  $\text{cm}^{-1}$ ,  
10 corresponding to C=O, O=C-O, and C-O bonds, respectively (Fig. 1B). The bands from O-H  
11 bonds are detected in the 3100-3400  $\text{cm}^{-1}$  range, while other bands stem from different C-C  
12 bonds (Fig. 1B). Both UV-Vis and FTIR spectra prove that GQD possess domains with  
13 aromatic C atoms, as well as O-containing functional groups. The photoluminescence spectra  
14 of GQD excited with the wavelengths from 300 to 400 nm are presented in Fig. 1C. The  
15 highest intensity of the emission was detected upon the excitation wavelength of 360 nm,  
16 with the center of emission at 465 nm. The blue emission of GQD above 450 nm has been  
17 attributed to electron-hole recombination or quantum size effect/zig-zag effect [47]. Different  
18 excitation wavelengths lead to the shifted center of the emission bands from 430 to 490 nm,  
19 which is common for GQD [48,49]. The morphology of GQD was investigated using both  
20 AFM and HR-TEM (Fig. 1D-F). AFM image shows that GQD were round-shaped and well  
21 dispersed, without observable agglomerates (Fig. 1D). The height of GQD varied from 1 to  
22 12 nm, suggesting that they mostly contained several graphene layers. HR-TEM images  
23 revealed that GQD were 10-15 nm in diameter (Fig. 1E), with the lattice spacing of approx.  
24 0.25 nm (Fig. 1F), which is close to the hexagonal pattern of graphene and consistent with  
25 previously reported findings [50].

## 1 *Antioxidant activity of GQD in cell-free conditions*

2

3 As antioxidant action is one of the hallmarks of GQD biological activity, we first examined  
4 the ability of the above described GQD to quench biologically relevant ROS/RNS in cell-free  
5 conditions. A general antioxidant capacity of GQD was demonstrated by the decoloration of  
6 the purple-colored free radical DPPH (Fig. 2A), and the reduction of SNP-induced  
7 fluorescence of the nonselective redox-sensitive dye DHR (Fig. 2B). GQD also decreased  
8 NBT oxidation by alkaline DMSO-derived superoxide (Fig. 2A), Fenton reaction-mediated  
9 generation of hydroxyl radical (Fig. 2A), and SNP-induced fluorescence of NO-selective  
10 fluorochrome DAF (Fig. 2B). The ability of GQD to quench  $\cdot\text{OH}$ ,  $\text{O}_2^{\cdot-}$  and NO radicals was  
11 additionally confirmed by EPR analysis (Fig. 2C-E). For that purpose, normalized double  
12 integral values calculated from the computer simulation of experimental EPR spectra were  
13 used. The quenching activity (QA) of GQD was calculated using the formula:

14

$$15 \quad QA = \frac{A_o - A_c}{A_o} 100 (\%)$$

16

17 where  $A_o$  and  $A_c$  refer to the double integral values of computer-simulated EPR spectra  
18 without and with GQD, respectively. As it could be observed, GQD displayed a very high  
19 quenching activity towards  $\cdot\text{OH}$  (>99.3%),  $\text{O}_2^{\cdot-}$  (91.5%) and NO radicals (84.4%).

20

## 21 *GQD protect SH-SY5Y human neuroblastoma cells from SNP-induced toxicity*

22

23 To test the neuroprotective potential of GQD, we assessed their effect on SNP-induced death  
24 of SH-SY5Y human neuroblastoma cells, which have been frequently used for the *in vitro*  
25 analysis of neurotoxicity/neuroprotection [12,14,51]. SH-SY5Y cells were treated with SNP

1 (0.5-2 mM) in the presence or absence of GQD (50-400  $\mu\text{g}/\text{mL}$ ) for 24 h, and the cell  
2 viability was assessed by measuring mitochondrial dehydrogenase activity (MTT test) and  
3 cell number (crystal violet staining). While SNP expectedly caused a dose-dependent  
4 cytotoxicity, the treatment with GQD restored the cell viability, as confirmed by both MTT  
5 and crystal violet test (Fig. 3A, B). The protective effect of GQD was concentration-  
6 dependent, particularly in the cells exposed to 1 mM and 2 mM SNP (Fig. 3A, B). Our  
7 preliminary experiments have shown that the toxicity of 1 mM SNP was largely exerted  
8 through an antiproliferative effect, while 2 mM SNP caused more overt cell death and was  
9 therefore chosen for further experiments. Light microscopy examination revealed that GQD  
10 alone had no discernible effect on the morphology of SH-SY5Y cells, but clearly prevented  
11 SNP-induced cell rounding and detachment from the surface of the cell culture well (Fig.  
12 3C). The staining with trypan blue demonstrated that SNP increased the proportion of dead  
13 cells unable to exclude the dye, which was efficiently counteracted by GQD (Fig. 3D). The  
14 extensive washing of SH-SY5Y cells preincubated with GQD only partly reduced their  
15 protective activity (Fig. 3E), suggesting that the intracellular presence of GQD was required  
16 for optimal protection. Indeed, we observed a time-dependent increase in red fluorescence  
17 (FL3) of SH-SY5Y cells incubated with GQD, indicating their cellular internalization (Fig.  
18 3F). While due to the small size of GQD it was difficult to observe the intracellular presence  
19 of single nanoparticles by TEM, their occasional aggregates were readily noticeable (Fig.  
20 3G). Collectively, these data confirm the ability of GQD to enter SH-SY5Y cells and protect  
21 them from the toxicity of SNP.

22

23

1 *GQD inhibit SNP-induced apoptotic death of SH-SY5Y cells*

2

3 We next investigated the mechanisms responsible for the neuroprotective action of GQD.

4 TEM analysis demonstrated that GQD prevented SNP-induced chromatin condensation and  
5 nuclear fragmentation as morphological characteristics of apoptotic cell death (Fig. 4A).

6 Accordingly, cell cycle analysis of propidium iodide-stained cells showed that SNP-mediated

7 increase in the percentage of cells with fragmented DNA (sub-G<sub>0</sub>/G<sub>1</sub> compartment) was

8 completely diminished by GQD (Fig. 4B). Moreover, immunoblot analysis revealed that

9 GQD prevented SNP-induced cleavage/activation of DNA-repairing enzyme PARP1, as well

10 as caspase-2 (Fig. 4C), an executioner caspase activated by oxidative stress and involved in

11 permeabilization of the outer mitochondrial membrane [52]. The increase in green/red

12 fluorescence ratio of JC-1, reflecting mitochondrial depolarization as another feature of

13 apoptotic cells, was evident in SNP-treated cells, but markedly less so in the presence of

14 GQD (Fig. 4D). In accordance with the above findings, pan-caspase inhibitor Q-VD-OPh

15 rescued SH-SY5Y cells from SNP, thus confirming the pivotal role of caspase-dependent

16 apoptosis in SNP-mediated cell death (Fig. 4E). Therefore, GQD suppress SNP-induced

17 mitochondrial depolarization, caspase activation, and subsequent apoptotic demise of SH-

18 SY5Y cells.

19

20 *Quenching of NO and  $\cdot$ OH contributes to GQD-mediated protection from SNP toxicity*

21

22 We next assessed the role of ROS/RNS scavenging in GQD-mediated protection from SNP.

23 Consistent with the results obtained in cell-free conditions (Fig. 2B, E), flow cytometric

24 analysis of cells stained with DAF, a fluorescent dye fairly selective for NO/ONOO<sup>-</sup>,

25 confirmed that GQD reduced RNS concentration in SNP-exposed SH-SY5Y cells (Fig. 5A).

1 Accordingly, the accumulation of the NO end-product nitrite in the supernatants of SNP-  
2 treated SH-SY5Y cells, measured by Griess reaction, was reduced by GQD to a certain extent  
3 (Fig. 5B). GQD also reduced nitrite accumulation in the supernatants of cells treated with  
4 another NO donor, DEA-NONOate (Fig. 5C), which was associated with partial recovery of  
5 cell viability (Fig. 5D). NO scavenger carboxy-PTIO and ONOO<sup>-</sup> scavenger uric acid  
6 marginally suppressed the neurotoxicity of SNP (Fig. 5E), and the "exhausted" SNP solution  
7 devoid of the ability to release NO by 72 h exposure to light [53] was only slightly less toxic  
8 to SH-SY5Y cells than fresh SNP (Fig. 5F). Moreover, GQD were still able to suppress this  
9 NO-independent toxicity of SNP (Fig. 5F), indicating that NO scavenging was involved in,  
10 but not crucial for the protective action of GQD in SNP-exposed SH-SY5Y cells. Again, in  
11 accordance with the data of cell-free measurements (Fig. 2A, B), NBT test and flow  
12 cytometric analysis of cells stained with ROS-sensitive dye DHR confirmed the ability of  
13 GQD to reduce SNP-mediated ROS increase in SH-SY5Y cells (Fig. 5G, H). Using a kit for  
14 selective detection of mitochondrial hydroxyl radical and MDA assay, we also demonstrated  
15 the ability of GQD to prevent SNP-induced intracellular <sup>•</sup>OH accumulation (Fig. 5I) and lipid  
16 peroxidation (Fig. 5J), respectively. Iron chelators DTPA and BPDSA diminished the toxic  
17 effects of SNP against SH-SY5Y cells (Fig. 5K), implying that Fe<sup>2+</sup> participated in SNP-  
18 mediated neurotoxicity. Finally, nonselective antioxidants glutathione and its precursor NAC,  
19 as well as relatively selective <sup>•</sup>OH scavenger DMSO and lipid peroxidation inhibitor  
20 tocopherol- $\alpha$  mimicked the neuroprotective activity of GQD (Fig. 5L). Interestingly,  
21 superoxide dismutase (SOD) was not able to prevent and even potentiated SNP-induced cell  
22 death to a certain extent (Fig. 5L). Therefore, neutralization of <sup>•</sup>OH formed in Fenton reaction  
23 catalyzed by SNP-derived iron, rather than O<sub>2</sub><sup>•-</sup> quenching, was involved in GQD-mediated  
24 protection from SNP cytotoxicity.

25

1 *GQD induce autophagy associated with reduced Akt/mTOR signaling in SNP-treated cells*

2

3 While investigating the intracellular localization of GQD, we noticed that combined  
4 SNP/GQD treatment induced intracellular vacuolization of SH-SY5Y cells with the  
5 appearance of both double-membrane autophagosome-like and single-membrane  
6 autolysosome-like vesicles containing cellular material (Fig. 6A, black and white arrows,  
7 respectively). Immunoblot analysis demonstrated that both GQD and SNP alone only slightly  
8 increased the expression of proautophagic molecule beclin-1 and conversion of LC3-I into  
9 lipidated, autophagosome-associated form LC3-II, while reducing the levels of p62, the cargo  
10 receptor selectively degraded by autophagy (Fig. 6B). The autophagy-associated changes  
11 observed after single SNP or GQD administration were markedly more pronounced upon the  
12 combined treatment (Fig. 6B). Autophagy induction by SNP/GQD combination correlated  
13 with the inhibition of the main autophagy suppressor mTOR and its upstream activator Akt,  
14 as well as with the reduction of Akt-dependent phosphorylation of the mTOR-binding protein  
15 PRAS40 (Thr246) (Fig. 6C). The autophagy-inducing capacity of GQD, SNP, and  
16 particularly their combination, was further confirmed by the increase in intracellular  
17 acidification (Fig. 6D), which is consistent with the autophagy-associated accumulation of  
18 autolysosomes and lysosomes. RT-qPCR analysis revealed that GQD also increased the  
19 levels of mRNA encoding proautophagic molecules ATF4, ATG14, and ATG5, while  
20 simultaneously decreasing the mRNA levels of autophagy inhibitor BCL2 (Fig. 6E). SNP  
21 upregulated only ATG14 mRNA, while combined treatment further down-regulated BCL2  
22 mRNA and increased the expression of ATF4 and ATG14 mRNA, as well as mRNA  
23 encoding autophagic activators ATG3 and ATG5 (Fig. 6E). Collectively, these data indicate  
24 that SNP and GQD synergize in inducing autophagy through both inhibition of Akt/mTOR  
25 signaling and transcriptional activation.

1 *GQD-induced autophagy is cytoprotective independently of their antioxidant activity*

2

3 While the increase in LC3-II levels resulting from its increased production reflects a genuine  
4 induction of autophagy, it could also be a consequence of reduced LC3-II degradation in  
5 autophagosomes, indicating a decrease in autophagic flux [54]. To clarify this issue, we  
6 assessed the autophagic flux by measuring the LC3-II levels in the presence of bafilomycin  
7 A1, a proton pump inhibitor that blocks autophagic proteolysis by inhibiting lysosomal  
8 acidification [55]. Both GQD and SNP alone, as well as their combination additionally up-  
9 regulated the levels of LC3-II in the presence of bafilomycin A1, thus confirming the  
10 increase in autophagosome formation and autophagic flux (Fig. 7A). In contrast to GQD,  $\cdot\text{OH}$   
11 scavenger DMSO and antioxidant NAC failed to increase autophagic flux in combination  
12 with SNP (Fig. 7A, B), suggesting that the ability of GQD to induce autophagy in SNP-  
13 treated cells was independent of their antioxidant activity. Finally, to determine the role of  
14 autophagy in the cytoprotective action of GQD, we blocked the early phase of autophagy  
15 with the inhibitors of autophagosome formation wortmannin and 3-methyladenine, as well as  
16 the late, degradative stage of autophagy with  $\text{NH}_4\text{Cl}$ , an inhibitor of lysosomal acidification.  
17 While autophagy inhibitors did not significantly affect the viability of untreated cells or cells  
18 exposed to SNP or GQD alone, they markedly increased the cytotoxicity of the combined  
19 treatment (Fig. 7C). Therefore, GQD protect SNP-treated SH-SY5Y cells by stimulating  
20 prosurvival autophagy independently of their antioxidant activity.

21

## 22 **Discussion**

23

24 The present study for the first time demonstrates the ability of GQD to protect SH-SY5Y  
25 neuroblastoma cells from SNP-mediated oxidative/nitrosative stress by preventing

1 mitochondrial depolarization, caspase activation, and subsequent apoptotic cell death. GQD  
2 exerted the observed protection by quenching  $\cdot\text{OH}$ , and to a lesser extent NO, as well as  
3 independently of their antioxidant activity through the induction of autophagy via Akt/mTOR  
4 suppression and transcriptional activation.

5

6 In accordance with the previously reported ROS- and NO-scavenging activity of GQD  
7 [24,27,56,57], we used EPR, chemical radical scavenging assays, and cytofluorometry to  
8 confirm their ability to neutralize  $\cdot\text{OH}$ ,  $\text{O}_2^{\cdot-}$ , and NO in cell-free conditions, as well as in  
9 SNP-treated SH-SY5Y cells. Somewhat surprisingly, the cytotoxicity of SNP in our  
10 experiments was mostly independent of NO, which was only marginally involved in the  
11 protection from SNP-induced cells death. This was indicated by the rather weak protective  
12 effect of NO scavengers, almost unaltered toxicity of SNP after exhausting its NO-generating  
13 capacity by light exposure, and the efficient GQD-mediated protection from exhausted SNP.  
14 These results are consistent with those of Cardaci et al., who found that the toxicity of SNP  
15 towards SH-SY5Y cells was independent of released NO and actually mediated by iron-  
16 catalyzed ROS generation [14]. Accordingly, SNP-released iron was found to trigger the  
17 production of highly cytotoxic  $\cdot\text{OH}$  via Fenton reaction in both biological systems [13,58]  
18 and cell-free conditions [53]. In line with these findings, the reduction of SNP cytotoxicity by  
19 iron chelators and different antioxidants confirmed the pivotal role of Fenton reaction in our  
20 experimental system. In contrast, extracellularly applied SOD stimulated SNP neurotoxicity,  
21 which is consistent with its ability to decompose  $\text{O}_2^{\cdot-}$  into  $\text{O}_2$  and  $\text{H}_2\text{O}_2$ , leading to the  
22 formation of  $\cdot\text{OH}$  in the Fenton reaction [53]. Moreover, mitochondrial hydroxyl radical  
23 detection assay and the reduction of SNP toxicity by the  $\cdot\text{OH}$  scavenger DMSO indicate that  
24 the protective action of GQD could be at least partly attributed to  $\cdot\text{OH}$  quenching. This was  
25 further supported by GQD-mediated decrease in SNP-triggered lipid peroxidation, which is



1 mainly induced by  $\cdot\text{OH}$  and  $\text{HOO}\cdot$  [59], as well as by mimicking GQD protective effect by  
2 lipid peroxidation inhibitor tocopherol- $\alpha$ .

3

4 The above discussed findings clearly indicate the role of  $\cdot\text{OH}$ , and to a lower degree, NO  
5 neutralization in the cytoprotective activity of GQD, but there is a question if these effects  
6 were exerted intracellularly or extracellularly. Both possibilities seem plausible, as  
7 chondrocyte apoptosis depended on extracellular Fenton reaction between SNP-derived iron  
8 ions and  $\text{H}_2\text{O}_2$  [53], while SNP internalization was required for the toxicity towards PC12  
9 pheochromocytoma cell line and rat hepatocytes [60,61]. Accordingly, we have employed  
10 various approaches to demonstrate  $\cdot\text{OH}$ ,  $\text{O}_2^{\cdot-}$ , and NO quenching by GQD both in cell-free  
11 systems and SNP-treated SH-SY5Y cells. Although the flow cytometry analysis of SNP-  
12 exposed cells argues in favor of the intracellular antioxidant activity of GQD, the observed  
13 decrease of cellular ROS/RNS could also result from their extracellular scavenging and  
14 subsequent decrease in cell entry. However, this might be likely for the relatively long-lived  
15 NO,  $\text{O}_2^{\cdot-}$  and  $\text{H}_2\text{O}_2$ , which could survive long enough to diffuse a few tens of micrometers,  
16 but markedly less so for the extremely reactive, short-lived  $\cdot\text{OH}$  [62,63]. On the other hand, it  
17 is possible that GQD could decrease the extracellular levels of SNP-derived  $\text{O}_2^{\cdot-}$  and  $\text{H}_2\text{O}_2$ ,  
18 thus reducing their intracellular turnover into  $\cdot\text{OH}$ . Nevertheless, a line of evidence in  
19 addition to cytofluorometric assays supports the involvement of intracellular antioxidant  
20 activity of GQD in their cytoprotective action. Namely, in accordance with previous results  
21 obtained by us and others in various cell types *in vitro* and *in vivo* [19-23], the TEM analysis  
22 and the increase in cellular fluorescence confirmed that GQD were internalized by SH-SY5Y  
23 cells. Moreover, the removal of GQD from extracellular space only partly diminished their  
24 pro-survival effect, indicating its dependence on the intracellular accumulation of GQD.

25

1 Importantly, the present study for the first time demonstrates the role of autophagy in GQD-  
2 mediated protection from oxidative/nitrosative stress. Following the recent guidelines [54],  
3 we used a multifaceted approach to confirm the ability of GQD to potentiate autophagic  
4 response in SNP-treated SH-SY5Y cells. Accordingly, we observed the increase in  
5 intracellular acidification, TEM-verified presence of autophagic vesicles, expression of  
6 proautophagic beclin-1, autophagy-selective degradation of p62, and LC3-I conversion to  
7 autophagosome-associated LC3-II in the presence of lysosomal inhibition, reflecting a  
8 genuine increase in autophagic turnover (flux) in GQD+SNP-treated cells. While confirming  
9 previously reported autophagy-inducing capacity of both GQD [21,28,29] and SNP [30-32],  
10 our study demonstrate their synergistic proautophagic action in SH-SY5Y cells. This  
11 cooperation was apparently exerted both at the signaling and transcriptional level, leading to  
12 inhibition of Akt/PRAS40/mTOR pathway and increased expression of several ATG genes.  
13 As Akt-dependent phosphorylation of PRAS40 at Thr246 is known to activate mTOR-  
14 containing autophagy repressor mTOR complex 1 (mTORC1) [64], it is plausible that Akt  
15 inhibition-mediated decrease in PRAS40 phosphorylation caused mTORC1 suppression and  
16 subsequent autophagy induction in GQD/SNP-exposed cells. Accordingly, it has been  
17 proposed that nanoparticles might interfere with mTOR activity either by preventing its  
18 localization to lysosomes or by affecting recruitment/activation of cell membrane-localized  
19 Akt during cellular internalization [65]. The transcriptional activation of autophagy by GQD  
20 or its combination with SNP involved the expression of autophagy transcription factor ATF4  
21 [66], as well as ATG14, ATG5, and ATG3, which sequentially participate in autophagosome  
22 nucleation, autophagosome elongation, and LC3 lipidation leading to autophagosome closure,  
23 respectively [67]. Interestingly, the mRNA levels of BCL2, which inhibits autophagy by  
24 binding beclin-1, the crucial member of autophagy-initiating complex [67], were reduced by  
25 GQD, thus presumably further contributing to their autophagy-inducing action.

1 The pharmacological inhibition of either early (autophagosome formation) or late (lysosomal  
2 degradation) stages of autophagy revealed its crucial role in GQD-mediated protection from  
3 SNP. On the other hand, autophagy inhibition failed to affect the cytotoxicity of SNP alone,  
4 which also increased autophagic flux, albeit to a lower extent. This could be explained by the  
5 possibility that autophagy must exceed a certain threshold to become cytoprotective, as well  
6 by the findings that autophagy induced by different stimuli could differently affect the  
7 viability of the same cell type [68,69]. Although antioxidants have been shown to induce  
8 autophagy in certain conditions [70-72], they failed to mimic autophagy-potentiating effect of  
9 GQD in SNP-treated cells, indicating the independence of pro-survival autophagy on GQD  
10 antioxidant action. However, it is possible that a reverse interaction might occur, in which  
11 autophagy affects the antioxidant function of GQD. Namely, it has been demonstrated in  
12 various experimental models that autophagy suppresses oxidative stress-induced apoptotic  
13 death of SH-SY5Y cells by participating in the clearance of damaged mitochondria [73,74].  
14 As the damaged mitochondria themselves release excessive amounts of ROS [75], this  
15 implies an interesting possibility that autophagy/mitophagy induction by GQD might  
16 contribute to their antioxidant activity. This assumption is currently being tested in our  
17 laboratory.

18

19 The main limitations of the present study include the *in vitro* experimental setting and the use  
20 of a rather high concentration of GQD (400  $\mu\text{g/mL}$ ), which is unlikely to be attained *in vivo*.  
21 However, it should be noted that at less toxic SNP doses GQD were protective at the  
22 concentration as low as 50  $\mu\text{g/mL}$ . Moreover, GQD seem to penetrate the damaged blood-  
23 brain barrier more easily, thus presumably leading to enhanced local accumulation in the  
24 affected brain tissue [19]. Finally, GQD might be chemically modified for increased ROS-  
25 quenching and/or autophagy-inducing capacity [29,76], which might enable the

1 cytoprotective action at lower concentrations. Regardless of whether our findings could be  
2 translated to an *in vivo* setting, they nevertheless indicate that autophagy-inducing  
3 antioxidants may provide increased neuroprotection from oxidative/nitrosative stress.

4  
5 In conclusion, our study demonstrates that  $\cdot\text{OH}/\text{NO}$  quenching and Akt/mTOR-dependent  
6 autophagy induction independently cooperate in GQD-mediated protection of SH-SY5Y  
7 neuronal cells from SNP-induced apoptotic death. While these findings remain to be  
8 confirmed in primary neurons and *in vivo*, the unique capacity of GQD to act both as  
9 antioxidants and inducers of prosurvival autophagy, coupled with low toxicity and the ability  
10 to cross blood-brain barrier [27,77] qualifies them as potential candidates for the treatment of  
11 oxidative/nitrosative stress-associated neurodegenerative and neuroinflammatory disorders.

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21

22

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4

## 5 **Figure legends**

6

7 **Fig. 1.** Characterization of GQD. The representative UV-Vis (A), FTIR (B), and  
8 photoluminescence (C) spectra, as well as AFM (D), TEM (E), and HRTEM (F) images are  
9 shown (a.u., arbitrary units).

10

11 **Fig. 2.** Antioxidant activity of GQD in cell-free conditions. (A, B) GQD (100 - 400  $\mu\text{g/mL}$ )  
12 were incubated in cell-free conditions with stable DPPH radical, superoxide-generating  
13 alkaline DMSO,  $\cdot\text{OH}$ -generating system ( $\text{Fe}^{2+}$  + ascorbate +  $\text{H}_2\text{O}_2$ ) (A), SNP (2 mM) + DHR  
14 (2  $\mu\text{M}$ ), or SNP + DAF (20  $\mu\text{M}$ ) (B). The absorbance of visible light at 517 nm or 570 nm,  
15 corresponding to DPPH,  $\text{O}_2^{\cdot-}$ , or  $\cdot\text{OH}$  levels (A), or the green fluorescence of DHR and DAF,  
16 as a measure of total ROS and NO production, respectively (B), was determined after 1 h (A)  
17 or 30 min (B). The data are mean  $\pm$  SD values of triplicates from a representative of three  
18 experiments (A) or mean  $\pm$  SD values from three independent experiments (B). (C-E)  
19 Alternatively, the ability of GQD (400  $\mu\text{g/mL}$ ) to scavenge  $\text{O}_2^{\cdot-}$  (C),  $\cdot\text{OH}$  (D), and NO (E)  
20 was measured by EPR. The representative EPR spectra of DEPMPO/OH (C),  
21 DEPMPO/OOH (D) and NO-Fe(DTCS)<sub>2</sub> (E) spin-adducts without (control) and with GQD,  
22 recorded 2 min upon their generation, are shown.

23

24 **Fig. 3.** GQD protect SH-SY5Y cells from SNP-induced toxicity. (A-D) SH-SY5Y cells were  
25 incubated for 24 h with different concentrations (A, B) or 2 mM (C, D) of SNP in the



1 presence or absence of the indicated concentrations (A, B) or 400  $\mu\text{g/mL}$  of GQD (C, D).  
2 Cell viability was assessed by MTT (A) and crystal violet assay (B), cell morphology was  
3 assessed by light microscopy (C), and the proportion of dead cells was determined by trypan  
4 blue staining (D). (E) After 1 h incubation with GQD (400  $\mu\text{g/mL}$ ), SH-SY5Y cells were  
5 extensively washed with PBS and treated with SNP (2 mM) for an additional 24 h. Cell  
6 viability was determined by MTT test. (F, G) SH-SY5Y cells were incubated for the  
7 indicated time periods (F) or 24 h (G) with GQD (400  $\mu\text{g/mL}$ ), and their intracellular  
8 accumulation was assessed by flow cytometry (E) or TEM (F). The data are mean  $\pm$  SD  
9 values of triplicates from a representative of three experiments (A, B, D, E) or mean  $\pm$  SD  
10 values from three independent experiments (F). Representative micrographs from two  
11 independent experiments are shown in (C) and (G).

12  
13 **Fig. 4.** GQD inhibit SNP-induced apoptotic death of SH-SY5Y cells. (A-E) SH-SY5Y cells  
14 were incubated with SNP (2 mM) in the presence or absence of GQD (400  $\mu\text{g/mL}$ ) (A-D) or  
15 Q-VD-OPH (10  $\mu\text{M}$ ) (E). After 24 h, cell ultrastructural morphology was visualized by TEM  
16 (A), DNA fragmentation was assessed by flow cytometric analysis of propidium iodide-  
17 stained cells (B), while cell viability was assessed using MTT assay (E). PARP1 cleavage and  
18 caspase-2 activity were analyzed by immunoblotting after 12 h (C), while mitochondrial  
19 depolarization was determined by flow cytometric analysis of JC1-stained cells after 6 h of  
20 treatment (D). Representative electron micrographs from two independent experiments (A),  
21 as well as representative histograms (B, D) and blots (C) from three independent experiments  
22 are shown. The data are mean  $\pm$  SD values from three independent experiments (B, D) or  
23 mean  $\pm$  SD values of triplicates from a representative of three experiments (E).

24

1 **Fig. 5.** (A-L) SH-SY5Y cells were treated with 2 mM of SNP (A, B, E-L), NO donor DEA-  
2 NONOate (C, D), or light-exhausted SNP (SNPex; F) in the presence or absence of 400  
3  $\mu\text{g}/\text{mL}$  (A, E-L) or indicated concentrations of GQD (B-D), NO scavenger PTIO (5  $\mu\text{M}$ ) or  
4  $\text{ONOO}^-$  scavenger uric acid (5  $\mu\text{M}$ ) (E), iron chelators DTPA (5  $\mu\text{M}$ ) or BPDSA (50  $\mu\text{M}$ )  
5 (K), or antioxidants DMSO (0.5%),  $\alpha$ -tocopherol ( $\alpha$ -toc, 100  $\mu\text{M}$ ), glutathione (GSH, 100  
6  $\mu\text{M}$ ), NAC (10 mM), and SOD (250  $\mu\text{g}/\text{mL}$ ) (L). After 6 h of incubation, intracellular  
7 concentration of NO in diaminofluorescein (DAF)-stained cells (A) and ROS in  
8 dihydrorhodamine (DHR)-stained cells (H) were measured by flow cytometry, while  
9 intracellular  $\cdot\text{OH}$  levels were determined by fluorimetry using Mitochondrial hydroxyl radical  
10 detection assay Kit (I). After 24 h of incubation, nitrite accumulation, cell viability,  
11 intracellular ROS generation, and lipid peroxidation were assessed by Griess reaction (B, C),  
12 MTT test (D-F, K, L), NBT assay (G), and MDA test (J), respectively. Representative flow  
13 cytometry histograms from three independent experiments are shown (A, H). The data are  
14 presented as mean  $\pm$  SD values of triplicates from a representative of three independent  
15 experiments (B-G, I-L).

16  
17 **Fig. 6.** GQD induce autophagy associated with reduced Akt/mTOR signaling in SNP-treated  
18 cells. (A-E) SH-SY5Y cells were incubated with SNP (2 mM) and/or GQD (400  $\mu\text{g}/\text{mL}$ ) for  
19 2 h (C, E) or 6 h (A, B, D). Intracellular morphology was visualized by electron microscopy  
20 (black arrow indicates single-membrane autophagosome-like vesicle; white arrows indicate  
21 double-membrane autolysosome-like vesicle) (A). The levels of autophagy markers (B) and  
22 activation of Akt/mTOR signaling axis (C) were determined by immunoblotting, intracellular  
23 acidification was estimated by flow cytometry in acridine orange (AO)-stained cells (D), and  
24 the expression of autophagy genes was analyzed by RT-qPCR (E). Representative  
25 micrographs (A) and blots (B, C) from three independent experiments are shown, while the

1 data in (B-E) are presented as mean  $\pm$  SD values from three independent experiments (B-D)  
2 or triplicates from a representative of three experiments (E).

3

4 **Fig. 7.** GQD-induced autophagy is cytoprotective and independent of their antioxidant

5 activity. (A-C) SH-SY5Y cells were incubated for 6 h with 2 mM SNP and 400  $\mu$ g/mL GQD

6 (A, C), 0.5% DMSO (A), or 10 mM NAC (B), in the presence or absence of 10 nM

7 bafilomycin A1 (BAF), and the levels of LC3-II were analyzed by immunoblotting.

8 Representative blots are shown, and the densitometry data are presented as mean  $\pm$  SD values

9 from three independent experiments. (C) SH-SY5Y cells were incubated for 24 h with 2 mM

10 SNP and 400  $\mu$ g/mL GQD, in the presence or absence of wortmannin (200 nM), NH<sub>4</sub>Cl (25

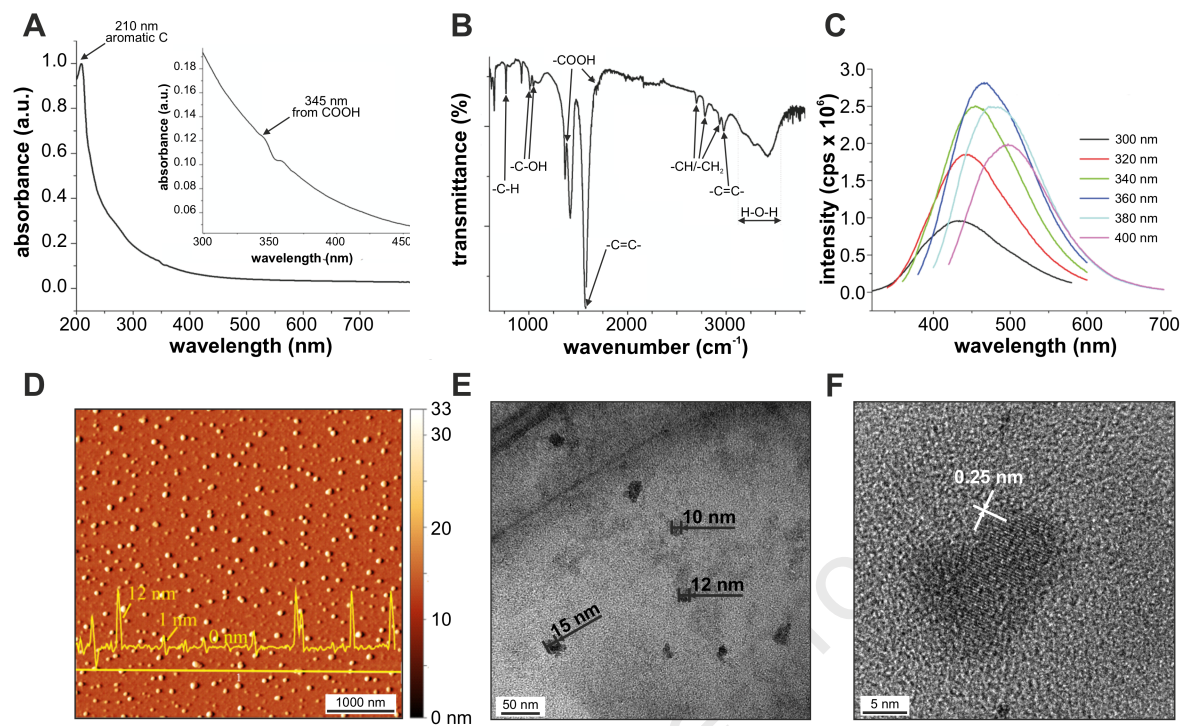
11 mM) or 3-methyladenine (5 mM). Cell viability was assessed by MTT test, and the data are

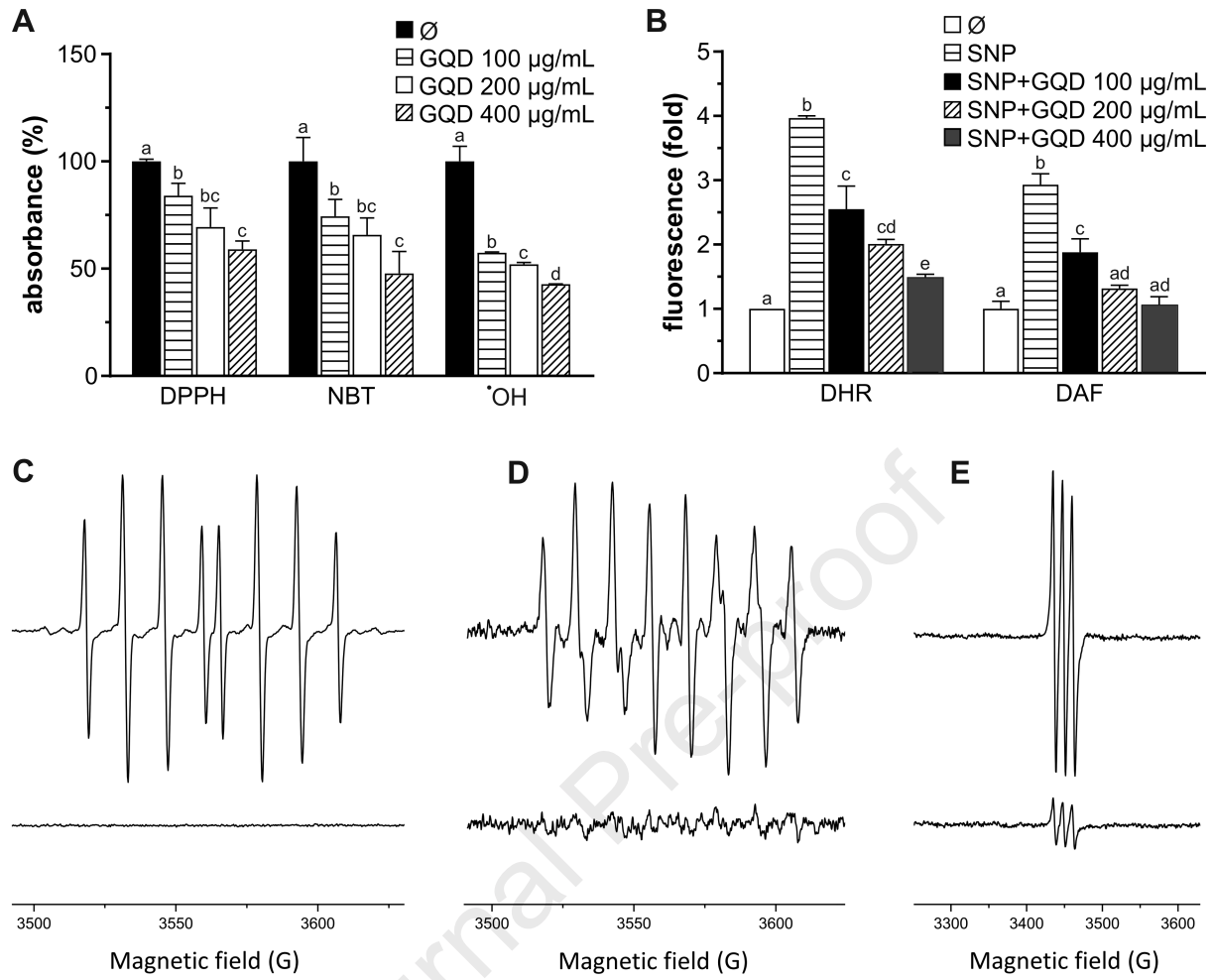
12 presented as triplicates from a representative of three experiments.

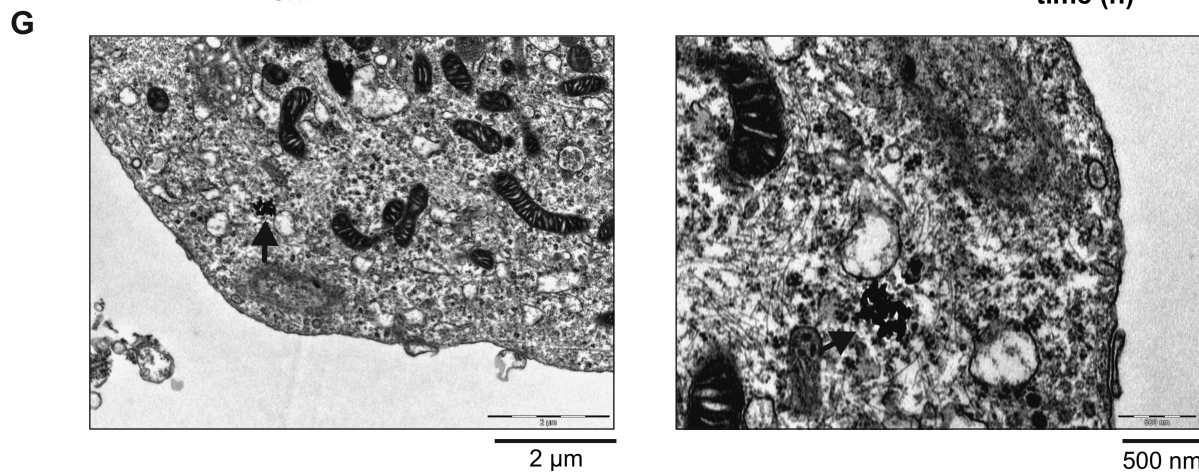
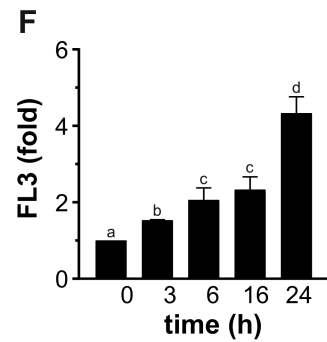
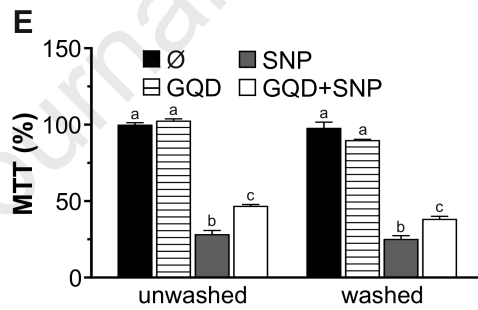
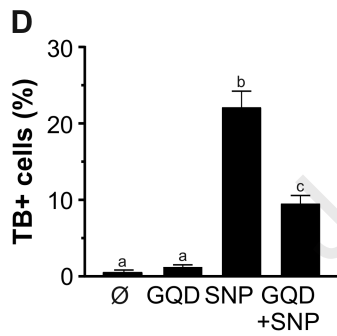
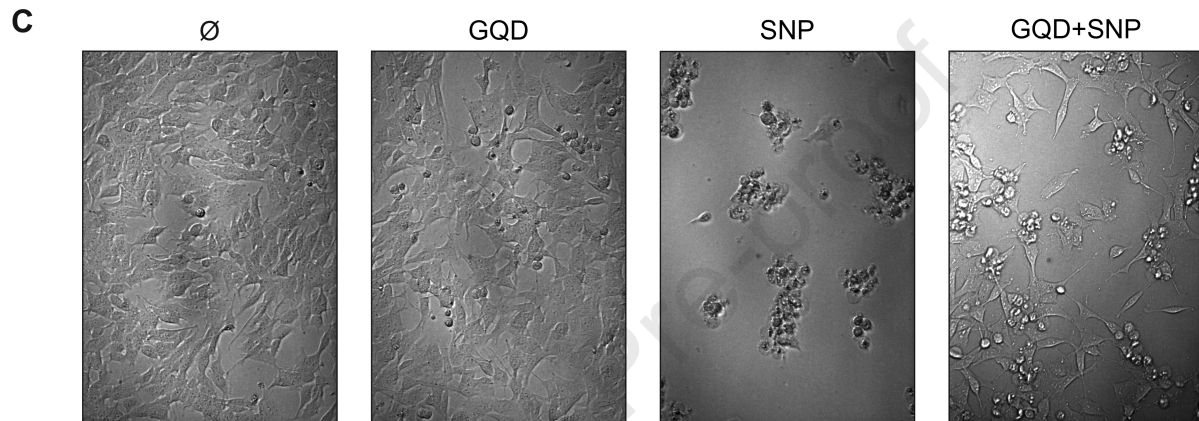
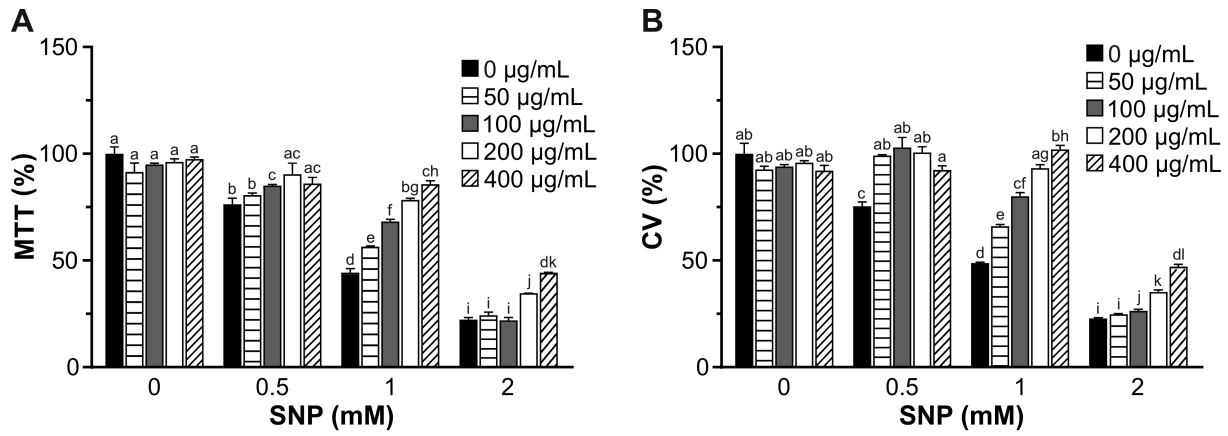
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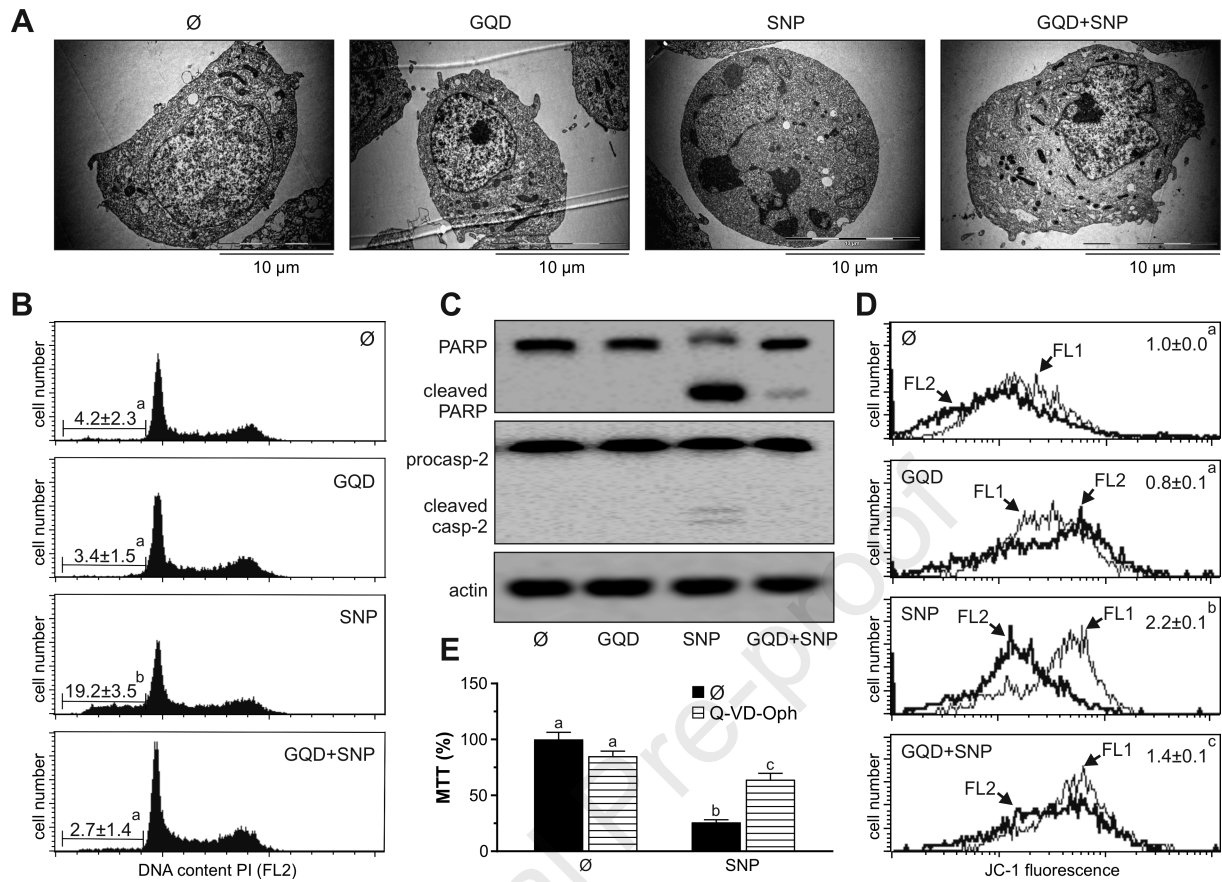
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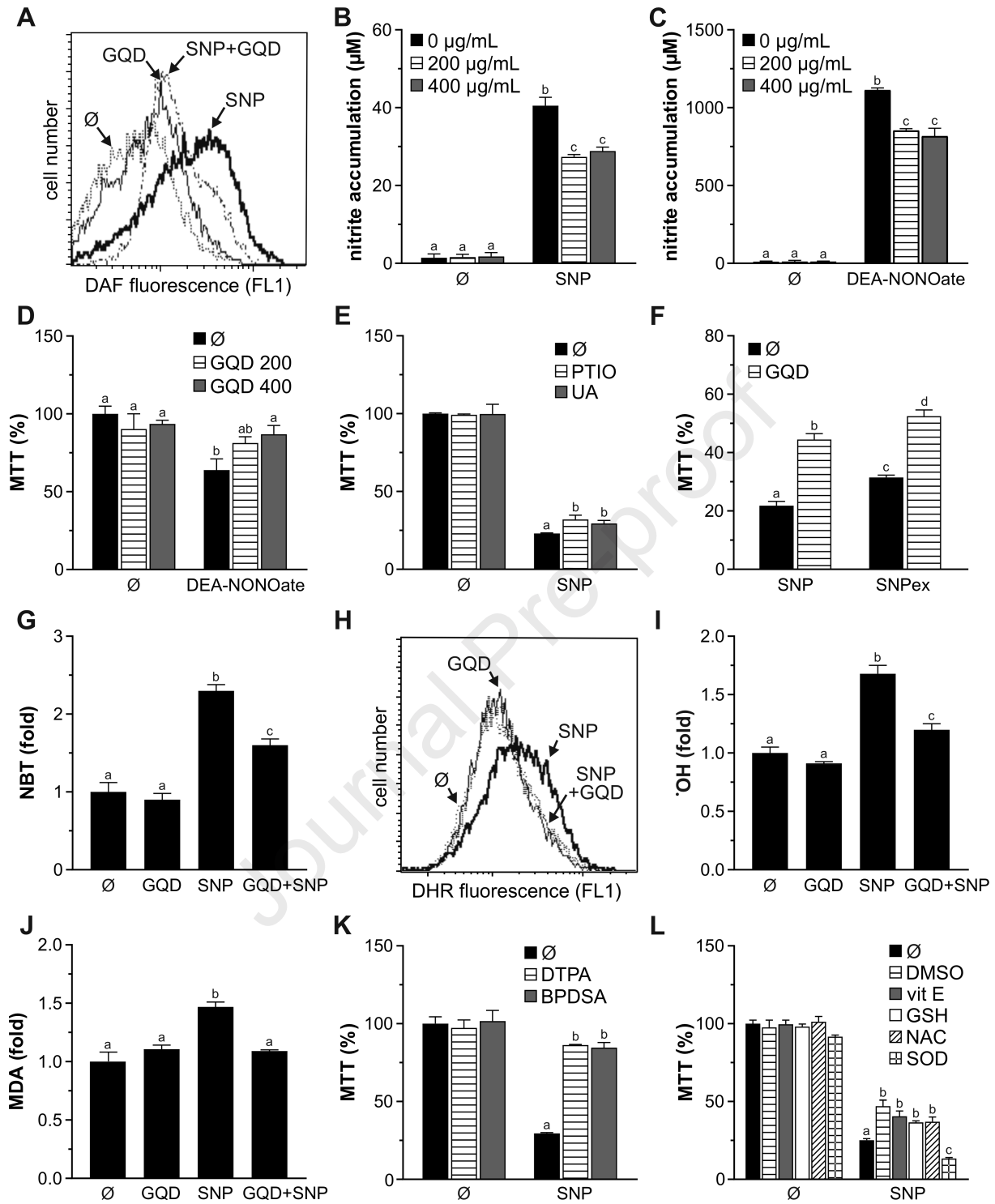
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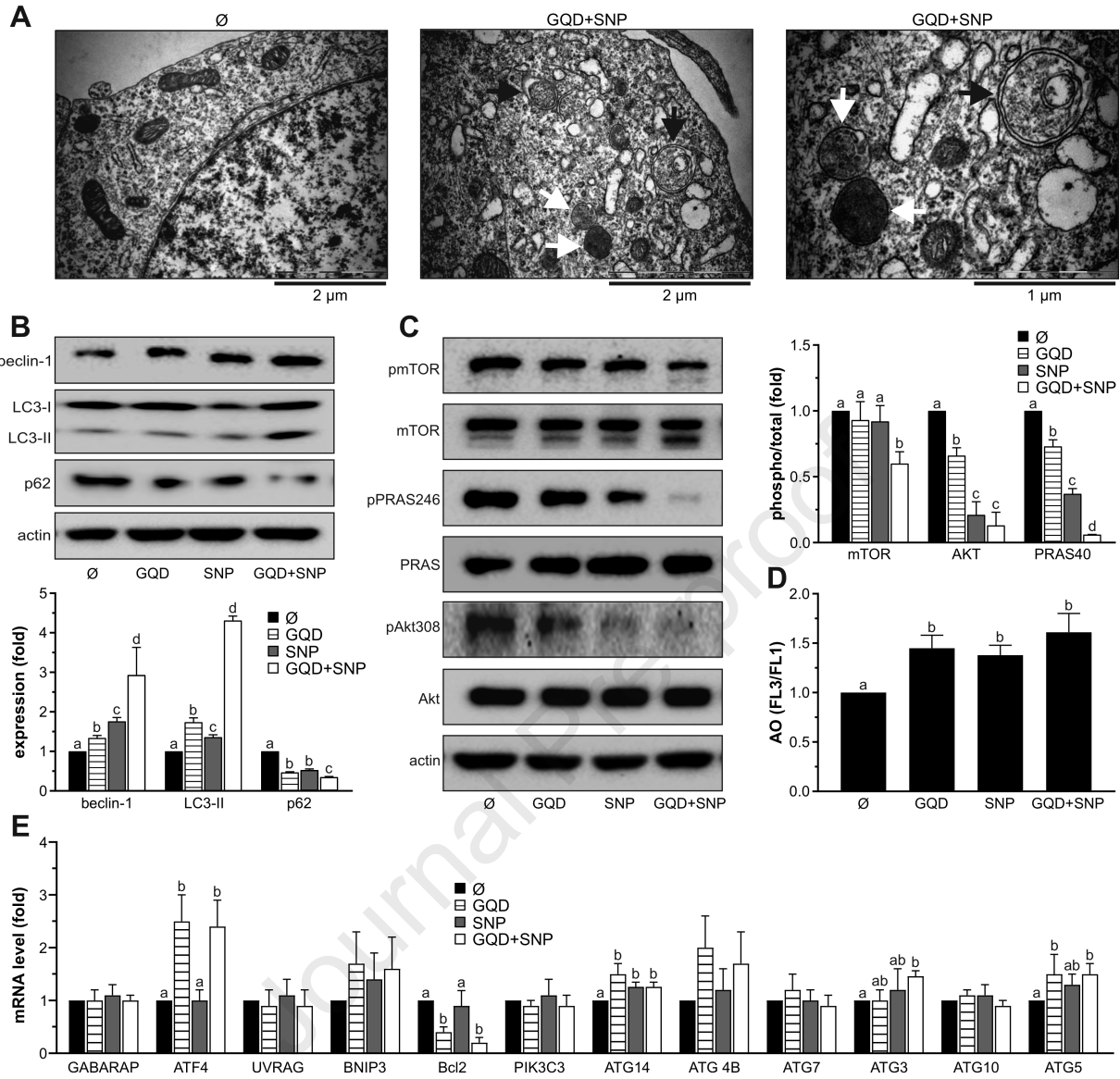


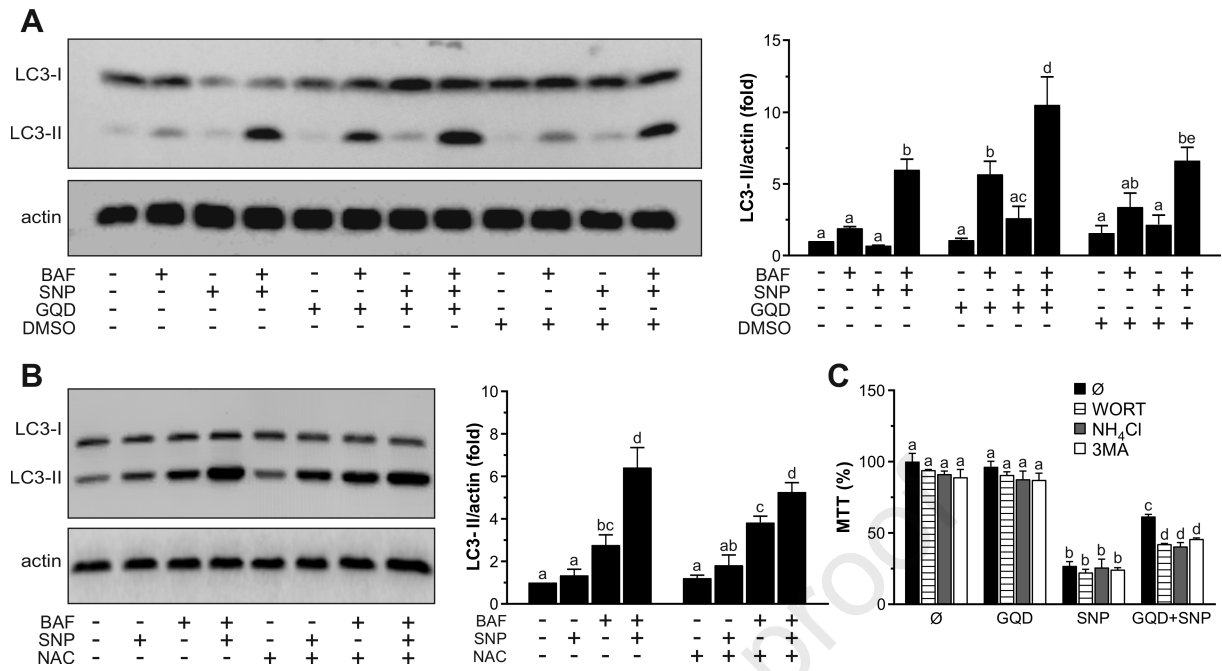












- Graphene quantum dots (GQD) protect SH-SY5Y cells from sodium nitroprusside (SNP)
- GQD inhibit SNP-induced apoptotic death of SH-SY5Y neuronal cells
- Quenching of NO and  $\cdot\text{OH}$  contributes to GQD-mediated protection from SNP toxicity
- GQD induce autophagy associated with reduced Akt/mTOR signaling
- GQD-induced autophagy is cytoprotective independently of their antioxidant activity