

This is a post-peer-review, pre-copyedit version of an article published in  
Chemico-Biological Interactions. The final authenticated version is available  
online at: <http://dx.doi.org/10.1016/j.cbi.2016.02.019>



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**Structural differences in diarylheptanoids analogues from *Alnus viridis* and *Alnus glutinosa* influence their activity and selectivity towards cancer cells**

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## Abstract

Diarylheptanoids represent a group of plant secondary metabolites that possess multiple biological properties and are increasingly recognized for their therapeutic potential. A comparative study was performed on structurally analogous diarylheptanoids isolated from the bark of green (*Alnus viridis*) and black alder (*Alnus glutinosa*) to address their biological effects and determine structure-activity relationship. The structures and configurations of all compounds were elucidated by NMR, HR-ESI-MS, UV and IR. Diarylheptanoids actions were studied in human non-small cell lung carcinoma cells (NCI-H460) and normal keratinocytes (HaCaT). *A. viridis* compounds **3v**, **5v**, **8v** and **9v** that possess a carbonyl group at C-3 were considerably more potent than compounds without this group. *A. viridis*/*A. glutinosa* analogue pairs, **5v/5g** and **9v/9g**, which differ in the presence of 3' and 3''-OH groups, were evaluated for anticancer activity and selectivity. **5v** and **9v** that do not possess 3' and 3''-OH groups showed significantly higher cytotoxicity compared to analogues **5g** and **9g**. In addition, these two *A. viridis* compounds induced a more prominent apoptosis in both cell lines and an increase in subG<sub>0</sub> cell cycle phase, compared to their *A. glutinosa* analogues. **5v** and **9v** treatment triggered intracellular superoxide anion accumulation and notably decreased mitochondrial transmembrane potential. In HaCaT cells, **9v** and **9g** with a 4,5 double bond caused a more prominent loss of mitochondrial transmembrane potential compared to **5v** and **5g** which possess a 5-methoxy group instead. Although green alder diarylheptanoids **5v** and **9v** displayed higher cytotoxicity, their analogues from black alder **5g** and **9g** could be more favorable for therapeutic use since they were more active in cancer cells than in normal keratinocytes. These results indicate that minor differences

in the chemical structure can greatly influence the effect of diarylheptanoids on apoptosis and redox status and determine their selectivity towards cancer cells.

### **Keywords**

diarylheptanoids; structure-activity relationship; reactive oxygen species; mitochondrial transmembrane potential

### **Abbreviations**

AV: Annexin-V-FITC

DHE: Dihydroethidium

JC-1: 5,5',6,6'-Tetrachloro-1,1',3,3'-tetraethylbenzimidazolocarboyanine iodide

PI: Propidium iodide

ROS: Reactive oxygen species

SAR: structure-activity relationship

SRB: Sulforhodamine B

$\Delta\Psi_m$ : Mitochondrial transmembrane potential

## 1. Introduction

Genus *Alnus* (alders) belongs to Betulaceae family. It comprises over 30 species of monoecious trees and shrubs distributed throughout the North Temperate Zone with a few species in Central America and the northern Andes. Green alder (*Alnus viridis* (Chaix) DC.), is a bush, 3-5 m tall, found in the mountains of central Europe (Alps) and Balkan Peninsula [1]. In Serbia, *Alnus viridis* ssp. *viridis* can be found mostly in the east regions (Mt. Stara Planina), near creeks, at altitude 1300-2100 m [2]. *Alnus glutinosa* (L.) Gaertn. (black alder, European alder) tree is found in Europe, the Mediterranean, southeastern Asia, the Caucasus Mountains, and western Siberia [2]. Multiple pharmacological studies have shown that extracts of various *Alnus* species display anti-inflammatory, antiobesity and antioxidative effects [3-6].

Diarylheptanoids are phenolic compounds typically found in the genus *Alnus*. They consist of two aryl groups joined by a heptane chain in the main skeleton and there is a variety of substituents carried by diarylheptanoids such as hydroxyl, methoxy, monosaccharide or disaccharide that can significantly influence their biological activity. They have been reported to display antioxidative [7-8], antibacterial [9], antiviral [10], chemoprotective [11] and anticancer properties [12-13]. Diarylheptanoids isolated from *A. glutinosa* exhibited chemoprotective activity and antagonized the effects of doxorubicin and cisplatin in human non-small cell lung cancer cells, human keratinocytes, and peripheral blood mononuclear cells [14]. Diarylheptanoids treatment reduced mitochondrial fragmentation associated with application of doxorubicin, inhibited generation of cisplatin-induced free radicals and increased mRNA expression of Mn-SOD and HIF-1 $\alpha$ . [14]. Additionally, diarylheptanoids from *A. glutinosa* were shown

to prevent doxorubicin-induced cell death by activating autophagy and protect human keratinocytes against doxorubicin-induced DNA damage [15]. Several compounds including platyphylloside, alnuside B and hirsutenone, were reported to display strong anticancer activity and significant selectivity towards the multidrug resistant lung cancer cells [16].

A comparative study was performed on a series of diarylheptanoids isolated from the bark of green alder and previously isolated diarylheptanoids from the black alder bark [16]. Our goal was to address their biological effect on human cancer and normal cells and determine their structure-activity relationship (SAR). Based on the similarities in their structure and the presence or absence of different moieties, compounds from both species were grouped into pairs of analogues and their biological activity was compared. We investigated the chemical structure-dependent effect of diarylheptanoids, including one novel compound isolated from *A. viridis*, on cellular proliferative capacity, cell cycle, mitochondrial function and redox status.

## **2. Materials and methods**

### **2.1. Plant material and compounds origin**

Diarylheptanoids investigated in this work, except new compound **4v**, were previously isolated from the CHCl<sub>3</sub>/MeOH (1:1) extract of the green alder bark from Serbia [17]. For the purpose of investigation of biological activities the same compounds were isolated in higher yield using procedure given in previous work [16]. Techniques used for isolation were silica gel column chromatography followed by semipreparative reversed phase HPLC. Their structures were elucidated by means of 1D and 2D NMR,

IR, UV and HR-ESI-MS. The experimental data regarding isolation are given in Supporting information. Diarylheptanoids analyzed in this work are 3-hydroxy-5-(4-hydroxyphenyl)-1-[2-(4-hydroxyphenyl)ethyl]pentyl 6-*O*- $\beta$ -D-apiofuranosyl- $\beta$ -D-glucopyranoside (**1v**), aceroside VIII (**2v**), (5*S*)-5-[(6-*O*- $\beta$ -D-apiofuranosyl- $\beta$ -D-glucopyranosyl)oxy]-1,7-bis(4-hydroxyphenyl)heptan-3-one (**3v**), (1*S*)-5-(4-hydroxyphenyl)-1-[2-(4-hydroxyphenyl)ethyl]pentyl 6-*O*- $\alpha$ -L-arabinofuranosyl- $\beta$ -D-glucopyranoside (**4v**), (5*S*)-*O*-methylplatyphyllonol (**5v**), (1*S*,3*R*)-3-hydroxy-5-(4-hydroxyphenyl)-1-[2-(4-hydroxyphenyl)ethyl]pentyl  $\beta$ -D-glucopyranoside (**6v**), (1*R*)-5-(4-hydroxyphenyl)-1-[2-(4-hydroxyphenyl)ethyl]pentyl 6-*O*- $\alpha$ -L-arabinofuranosyl- $\beta$ -D-glucopyranoside (**7v**), (5*S*)-1,7-bis(4-hydroxyphenyl)-5-[[6-*O*-(3,4,5-trihydroxybenzoyl)- $\beta$ -D-glucopyranosyl]oxy]heptan-3-one (**8v**) and platyphyllenone (**9v**), (Fig. 1A). Before the investigation of the biological activity all tested diarylheptanoids were checked for their purity by HPLC (at 280 nm) and <sup>1</sup>H NMR, and it was higher than 98%. Compound **4v** has been newly isolated in this study and added to the investigation of biological activity. Since there is no literature data about diarylheptanoid **4v**, it was assumed that **4v** is new secondary metabolite.

In comparison with known compound **7v** [17], **4v** (6 mg) exhibited NMR spectra similar to that of **7v**, with minor differences in heptane and aromatic parts of the <sup>13</sup>C NMR spectrum (Figs. S1, S2 and S3, Table S1 in Supporting information). Small differences can be explained only by R/S isomerisation, so **4v** represents 3*S* isomer of **7v**. *S* configuration was determined by <sup>13</sup>C glycosidation shift rule [18-19] analyzing <sup>13</sup>C NMR spectra of **4v** (recorded in pyridine-*d*<sub>5</sub> for that purpose) and its appropriate aglicon (-)-centrololol [20-21]. Smaller difference in chemical shifts was obtained for C-4 than

for C-2,  $\Delta\delta_{C4} < \Delta\delta_{C2}$  (2,7 < 3,2), referring to *S* configuration at C-3 (Fig. S4 in Supporting information). Quasimolecular ions  $[M-H]^-$  at  $m/z$  593,2617 and  $[M+Cl]^-$  at  $m/z$  629,2382 confirmed supposed structure.  $[\alpha]_D$  value for **4v** in MeOH was  $-51.0^\circ$ . For **7v**  $\Delta\delta_{C4} > \Delta\delta_{C2}$  (3,5 > 2,5, in pyridine- $d_5$ ), referring to *R* configuration at C-3.  $[\alpha]_D$  for **7v** was  $-91.1^\circ$  [17]. Hence, **4v** is (1*S*)-5-(4-hydroxyphenyl)-1-[2-(4-hydroxyphenyl)ethyl]pentyl 6-*O*- $\alpha$ -L-arabinofuranosyl- $\beta$ -D-glucopyranoside. Spectroscopic data for new compound **4v** are given in Supporting information.

In order to obtain a more comprehensive structure-activity relationship, we included cytotoxicity measurements of four diarylheptanoids isolated from the black alder bark in a previous investigation: (5*S*)-1,7-bis(4-hydroxyphenyl)-5-[[6-*O*-[(*E*)-3-(4-hydroxyphenyl)-1-oxo-2-propen-1-yl]- $\beta$ -D-glucopyranosyl]oxy]heptan-3-one (**3g**), 5(*S*)-*O*-methylhirsutanonol (**5g**), platyphylloside (**8g**), and hirsutenone (**9g**) (Fig. 1B) [16]. 5(*S*)-*O*-methylhirsutanonol (**5g**) [5] and hirsutenone (**9g**) [22] are known plant metabolites whose biological activities were further explored and compared to diarylheptanoids from *A. viridis* in support of a more detailed SAR study.

## 2.2. Chemicals

RPMI 1640 medium, DMEM medium, penicillin–streptomycin solution, antibiotic–antimycotic solution, L-glutamine and trypsin/EDTA were purchased from PAA, Vienna, Austria. Fetal bovine serum (FBS), dimethyl sulfoxide (DMSO), sulforhodamine B (SRB) and 5,5',6,6'-Tetrachloro-1,1',3,3'-tetraethylbenzimidazolocarboyanine iodide (JC-1) were obtained from Sigma–Aldrich Chemie GmbH, Germany. Propidium Iodide (PI) and Annexin-V-FITC (AV) were



purchased from Abcam, Cambridge, UK. Dihydroethidium (DHE) was obtained from Molecular Probes®, Invitrogen, CA, USA.

### **2.3. Cell culture**

NCI-H460 cell line (human non-small cell lung cancer) was purchased from the American Type Culture Collection, Rockville, MD. NCI-H460 cells were maintained in RPMI 1640 supplemented with 10% FBS, 2 mM L-glutamine, 4.5 g/L glucose, 10,000 U/mL penicillin, 10 mg/mL streptomycin, 25 mg/mL amphotericin B solution at 37 °C in a humidified 5% CO<sub>2</sub> atmosphere. HaCaT cell line (normal human keratinocytes obtained from CLS - Cell Lines Service, Eppelheim, Germany) was generous gift from Prof. Andra Jorg, Division of Biophysics, Research Center Borstel, Leibniz-Center for Medicine and Biosciences, Borstel, Germany. HaCaT cells were cultured in DMEM supplemented with 10% FBS, 4 g/L glucose, L-glutamine (2 mM) and 5000 U/ml penicilin, 5 mg/mL streptomycin solution.

### **2.4. Cytotoxicity by SRB assay**

NCI-H460 and HaCaT cells grown in 25 cm<sup>2</sup> tissue flasks were trypsinized, seeded into 96-well tissue culture plates and incubated overnight. The cells were then treated for 72 h with increasing concentrations of diarylheptanoids **1v-9v** from *A. viridis*. The SRB assay was performed as previously described by Skehan et al. [23]. Briefly, the cells in 96-well plates were fixed in 50% trichloroacetic acid (50 ml/well) for 1 h at 4 °C, rinsed in tap water and stained with 0.4% (w/v) SRB in 1% acetic acid (50 ml/well) for 30 minutes at room temperature. The cells were then rinsed three times in 1% acetic acid

to remove the unbound stain. The protein-bound stain was extracted with 200 µl 10 mM Tris base (pH 10.5) per well. The optical density was read at 540 nm with correction at 670 nm in a LKB 5060-006 micro plate reader (Vienna, Austria).

Growth inhibition (I) was determined according to the following equation:

$$I = (1 - A_{treated}/A_{untreated}) \times 100$$

where A is absorbance.

IC<sub>50</sub> value was defined as a concentration of each drug that inhibited cell growth by 50%.

IC<sub>50</sub> was calculated by linear regression analysis using Excel software.

## **2.5. Cell death detection**

The percentages of apoptotic, necrotic and viable cells were determined by Annexin-V-FITC (AV) and propidium iodide (PI) labeling. NCI-H460 and HaCaT cells were incubated overnight in 6-well plates and subjected to single treatments with 15 µM and 45 µM diarylheptanoids. After 48 h, the attached and floating cells were collected by centrifugation. AV/PI staining was performed according to manufacturer's instructions and cells were analyzed within 1 h by flow-cytometry. The fluorescence intensity (green FL1-H and red FL2-H) was measured on FACSClibur flow-cytometer (Becton Dickinson, Oxford, United Kingdom). In each sample, 10,000 cells were recorded (gated to exclude cell debris), and the percentages of viable (AV- PI-), early apoptotic (AV+ PI-), apoptotic and necrotic (AV+ PI+), and already dead (AV- PI+) cells were analyzed by CellQuest Pro data analysis software.

## **2.6. Analysis of cell cycle distribution**

Unsynchronized NCI-H460 and HaCaT cells were plated in 6-well plates and incubated overnight. The effect of diarylheptanoids on cell cycle distribution was studied after 48 h. Briefly, the attached were trypsinized and along with the floating cells collected by centrifugation, washed in phosphate buffered saline (PBS) and fixed in 70% ethanol for 48 h at -20 °C. After fixation, the cells were washed in PBS and pretreated with 50 mg/ml of RNase-A at 37 °C for 15 min. Then, PI was added to final concentration of 50 mg/ml. After 30 min flow-cytometric analysis was performed on the FACSCalibur flow-cytometer (Becton Dickinson, Oxford, United Kingdom). A minimum of 10,000 events were collected for each experimental sample. Cell cycle distribution was determined automatically in Mod-FIT (Verity Software House, Inc). The validity of the data analysis model was verified using the R.C.S.value (reduced Chi2, R.C.S. < 15%).

## **2.7. Superoxide anion detection by flow cytometry**

Flow-cytometric analysis of DHE fluorescence intensity was used to detect superoxide anion level in cells. NCI-H460 and HaCaT cells were incubated overnight in 6-well plates, and then treated with 15 µM diarylheptanoids. After 24 h, adherent cells were harvested by trypsinization and incubated in adequate medium with 1 µM DHE, a superoxide indicator dye, for 30 min at 37 °C in the dark. Cells were subsequently washed twice in PBS and DHE fluorescence was analyzed on a FACSClibur flow-cytometer (excitation 488 nm, and emission 585 nm, FL2-H channel). Mean fluorescence intensity (MFI) was calculated after correction for auto-fluorescence.

## **2.8. Mitochondrial transmembrane potential detection by flow cytometry**

Mitochondrial transmembrane potential ( $\Delta\Psi_m$ ) was assessed by flow-cytometric measurement of JC-1 fluorescence. NCI-H460 and HaCaT cells were incubated overnight in 6-well plates, and then treated with 15  $\mu\text{M}$  diarylheptanoids for 24 h. Cells were trypsinized, resuspended in 500  $\mu\text{L}$  of media containing 2  $\mu\text{M}$  JC-1 and incubated for 30 min at 37 °C in the dark. After washing twice in PBS, both red and green fluorescence emissions were detected and their ratio analyzed on a FACSClibur flow-cytometer (excitation 488 nm, emission 530 nm for FL1-H channel and 585 nm for FL2-H channel).

## **2.9. Fluorescence microscopy**

NCI-H460 and HaCaT cells were seeded in 4-well chamber slides (Nunc, Naperville, IL, USA) and allowed to grow at 37 °C overnight before being treated with 15  $\mu\text{M}$  diarylheptanoids for 24 h at 37 °C. To detect superoxide anion, cells were washed in PBS and labeled with 1  $\mu\text{M}$  DHE for 30 min at 37 °C. Live NCI-H460 and HaCaT cells were examined under the Zeiss Axiovert fluorescent microscope (Carl Zeiss Foundation, Oberkochen, Germany) using an AxioVision4.8 software.

To observe  $\Delta\Psi_m$ , cells were washed in PBS and labeled with 2  $\mu\text{M}$  JC-1 for 30 min at 37 °C. JC-1 is a cationic dye whose accumulation in mitochondria is dependent on the mitochondrial membrane potential [24]. When in monomeric form, JC-1 fluoresces in green (525 nm), which can be used to measure mitochondrial density in cells. Under normal conditions, mitochondria-accumulated JC-1 forms aggregates that fluoresce in red (590 nm). Disruption of mitochondrial membrane integrity and loss of the potential

reduces the amount of aggregates and decreases red fluorescence. Live NCI-H460 and HaCaT cells labeled with JC-1 were examined under the Zeiss Axiovert fluorescent microscope.

## 2.10. Statistical analysis

Statistical analysis for SRB assay was performed in GraphPad Prism 6 software. The differences between groups were determined by a two-way ANOVA test. Statistical analysis for flow-cytometric data was performed in Mod-FIT and CellQuest Pro data analysis software. Statistical significance was accepted if  $p < 0.05$ .

## 3. Results and discussion

### 3.1. Influence of diarylheptanoids' chemical structure on cell growth inhibition and cell death

The *in vitro* cytotoxic activity of diarylheptanoids after 72 h treatment was studied in NCI-H460 and HaCaT cell lines by the SRB assay (Table 1). The effect of compounds is presented as  $IC_{50}$  values that correspond to the inhibited cell growth by 50%. Among isolated compounds from *A. viridis*, **5v** and **9v** exerted the most potent cytotoxic effect with  $IC_{50}$  values 8.2  $\mu$ M and 14.4  $\mu$ M in NCI-H460 cells, and 8.9  $\mu$ M and 9.1  $\mu$ M in HaCaT cells, respectively. New compound **4v** displayed the lowest cytotoxic potential ( $IC_{50} = 388.9 \mu$ M; Table 1). We compared  $IC_{50}$  values of diarylheptanoids **1v-9v** to  $IC_{50}$  values of their structural analogues previously isolated from *A. glutinosa* **3g**, **5g**, **8g** and **9g** (Table 1) [16]. The statistical analysis of diarylheptanoids activity is presented in Table S2 in the Supporting information.

The SAR analysis of **5g** and **9g** from *A. glutinosa* and **5v** and **9v** from *A. viridis* revealed that hydroxyl groups at C-3' and C-3'' positions have a notable negative effect on the cytotoxicity of the tested compounds. Structurally analogous **5v/5g** and **9v/9g** pairs confirmed that the presence of 3'- and 3''-OH groups significantly decreases activity which is in agreement with our previous findings [16]. Platyphyllenone (**9v**) exhibited stronger biological effect compared to its analogue hirsutenone (**9g**) since it lacks 3'- and 3''-OH groups; however its selectivity towards cancer cells was weak. Compound **5v**, which also lacks 3'- and 3''-OH groups, showed stronger activity than its analogue 5-*O*-methylhirsutanonol (**5g**), but was also not selective towards cancer cells (Fig. 1; Table 1). Additionally, the presence of 4,5 double bond instead of a methoxy group in **9v/9g** analogues had unfavorable effect on proliferation in NCI-H460 cells. The presence of carbonyl group at C-3 had positive effect on the biological activity of diarylheptanoids since **3v**, **5v**, **8v** and **9v** were considerably more potent than diarylheptanoids without C-3 carbonyl group (Fig. 1A). The same structure-activity relationship was reported earlier in *A. glutinosa* diarylheptanoids [16]. Compound **3v** has one more apiose compared to platyphylloside (**8g**) but the two diarylheptanoids exhibited similar activity because carbonyl group has stronger influence than apiose addition (Table 1). An apiose moiety in **3v** instead of a *p*-coumaroyl group in **3g** negatively affected selectivity towards cancer cells. The presence of galloyl group in **8v** compared to *p*-coumaroyl in **3g** decreased cytotoxic activity. Additional galloyl group in **8v** compared to **8g** did not significantly influence activity but had a notable effect on selectivity.

To evaluate the potential of diarylheptanoids to induce cell death, NCI-H460 and HaCaT cells were treated with 15  $\mu$ M or 45  $\mu$ M **5v**, **9v**, **5g** and **9g** for 48 h and subjected

to AV/PI staining (Fig. 2). The 15  $\mu\text{M}$  concentration was approximate to  $\text{IC}_{50}$  values of investigated compounds based on the 72 h cytotoxicity assay performed in 96-well plates. For flow-cytometric analysis the cells were seeded in a higher density in 6-well plates and the treatment time for each diarylheptanoid was shorter. In order to compensate for this we additionally applied the 45  $\mu\text{M}$  concentration ( $\sim 3\text{xIC}_{50}$ ) to more easily achieve the investigated effect and compare it between the compounds. Treatment with 15  $\mu\text{M}$  **5v** and **9v** caused significant cell death induction which was not observed with 15  $\mu\text{M}$  **5g** and **9g**. Especially prominent effect was obtained in **9v** treated HaCaT cells (Fig. 2A). Application of higher concentration (45  $\mu\text{M}$ ) resulted in significant cell death induced by all compounds in both cells lines. **5v** showed considerably higher potency in HaCaT cells when compared to **5g** (Fig. 2B). Analogue pair **9v/9g** had much higher apoptotic efficiency at 45  $\mu\text{M}$  in both cell lines compared to its **5v/5g** counterpart, further suggesting the positive effect of 4,5 double bond on biological activity. The cell death effect observed with diarylheptanoids was apoptotic showing the increase of cell percentage in both early and late apoptosis which was followed by secondary necrosis when higher concentration (45  $\mu\text{M}$ ) was applied. The statistical analysis of diarylheptanoids cell death inducing activity is presented in Table S3 in the Supporting information.

### **3.2. The effect of diarylheptanoids on cell cycle kinetics in NCI-H460 and HaCaT cells**

Diarylheptanoids have been previously reported to significantly affect cell cycle kinetics. Cell cycle analysis on myricanone-treated HepG2 cells revealed  $\text{G}_0/\text{G}_1$  phase

arrest [25] which was also reported in another study on curcumin [26]. Myricanone was also shown to induce cell cycle arrest at different stages in HeLa and PC3 cells. HeLa cells displayed G<sub>0</sub>/G<sub>1</sub> phase increase followed by G<sub>2</sub>/M decrease whereas in PC3 cells arrest occurred at S phase [27]. In neuroblastoma cells, diarylheptanoids from *Alpinia officinarum* induced S phase cell cycle arrest concurrently with an increased subG<sub>0</sub> cell population [28].

We subsequently studied how cytotoxicity of diarylheptanoids affects the cell cycle kinetics. The differences between 15 μM and 45 μM 48 h treatments with **5v**, **9v**, **5g** and **9g** were assessed by flow cytometry (Fig. 3). Representative flow-cytometric profiles of **9v** treated NCI-H460 and HaCaT cells are shown in Fig. 3A. At 15 μM treatment, **5v** and **9v** notably perturbed cell cycle phases in both cell lines, which was especially prominent in HaCaT cells. Both compounds decreased the percentage of NCI-H460 and HaCaT cells in G<sub>0</sub>/G<sub>1</sub> phase (Fig. 3B) followed by significant increase in dead cells (subG<sub>0</sub> phase). Treatment with higher concentration (45 μM) of diarylheptanoids from *A. viridis* completely disturbed the cell cycle in both cell lines causing major increase in subG<sub>0</sub> phase cells. Diarylheptanoid **5g** from *A. glutinosa* did not cause significant perturbation of the cell cycle phases. Compound **9g** was active only at 45 μM concentration in HaCaT cells, leading to decrease in G<sub>0</sub>/G<sub>1</sub> phase, while the amount of dead cells in subG<sub>0</sub> phase was increased. Cell cycle analysis was consistent with flow-cytometric cell death analysis implying pro-apoptotic effect of diarylheptanoids since the increase in cell death was followed by increase in subG<sub>0</sub> (DNA fragmentation) and decrease in G<sub>0</sub>/G<sub>1</sub> phase. The statistical analysis of diarylheptanoids cell cycle arresting activity is presented in Table S4 in the Supporting information.



### 3.3. Diarylheptanoids from *A. viridis* increased superoxide anion levels in NCI-H460 and HaCaT cells

Reactive oxygen species (ROS) are produced in many pathological conditions such as cancers, cardiovascular, inflammatory diseases or autoimmune diseases [29-30], but also in physiological situations like cellular metabolism, signaling pathways including proliferation and migration [31-36]. Low amounts of ROS may suppress viruses, pathogens, and tumor proliferation exerting beneficial effects, while high amounts may damage the host cells and favor tumor progression [31, 36-37]. To test superoxide anion production, NCI-H460 and HaCaT cells were treated with 15  $\mu$ M diarylheptanoids **5v**, **9v**, **5g** and **9g** for 24 h. Superoxide anion levels were detected by DHE staining and assessed by flow-cytometry and fluorescence microscopy (Fig. 4). Representative flow-cytometric profiles of **9v** treated NCI-H460 and HaCaT cells are shown in Fig. 4A. Intensity of DHE fluorescence after **5v** and **9v** treatment significantly increased in both cell lines showing stronger effect than diarylheptanoids from *A. glutinosa*. The intracellular accumulation of superoxide anion was more pronounced in HaCaT cells, with **9v** exhibiting the strongest effect compared to other compounds (Fig. 4B). These results were additionally confirmed by live DHE imaging (Fig. 4C). Depending on their chemical structure, various diarylheptanoids have been reported to act as pro- or antioxidants [14, 38-43]. Oregonin, another diarylheptanoid found in the *Alnus* genus has been reported to reduce production of ROS and increase expression of antioxidant-related genes in human macrophages [40]. This open-chain diarylheptanoid glycoside with 3-carbonyl and 5-xylosyloxy groups also possesses analogous chemical

structure to compounds investigated in this study. The presence of 3' and 3''-OH groups, is likely responsible for the reduced pro-oxidant activity of **5g** and **9g**, as well as ROS-protective properties of oregonin. Another structurally related diarylheptanoid from *A. glutinosa* which contains a *p*-coumaroyl group, **3g** was shown to efficiently antagonize cisplatin-induced superoxide anion production in NCI-H460 and HaCaT cells by increasing the expression of manganese superoxide dismutase (Mn-SOD) and hypoxia-inducible factor-1 (HIF-1 $\alpha$ ) mRNA [14]. Its structural analogue without *p*-coumaroyl group, platyphylloside, was far less effective in ROS scavenging activity. SAR evaluation suggests that the influence of diarylheptanoids on intracellular oxidative status highly depends on minor variations in their chemical composition where the absence of hydroxyl groups at C-3' and C-3'' in aryl moieties is highly advantageous for triggering superoxide production.

#### **3.4. Diarylheptanoids from *A. viridis* decreased mitochondrial transmembrane potential in NCI-H460 and HaCaT cells**

Mitochondria are responsible for vital cellular processes such as respiration, oxidative phosphorylation or apoptosis regulation and also represent the main intracellular source of ROS [44-45]. A variety of natural compounds, including quercetin, resveratrol, and diarylheptanoid curcumin, modulate mitochondrial functions by inhibiting organelle enzymes or metabolic pathways, altering ROS production, and expression of mitochondrial proteins. These compounds can act as both pro- and antioxidants and display pro-apoptotic activity by affecting  $\Delta\Psi_m$  and cytochrome c

release from mitochondria or affecting the expression of pro-apoptotic and anti-apoptotic proteins [46-47]. In human glioblastoma and colorectal cancer cells, diarylheptanoid curcumin caused the release of cytochrome c and apoptosis-inducing factor from mitochondria [48-49], as well as a rapid decrease in  $\Delta\Psi_m$  in growing murine neural 2a (N2a) cells [50] subsequently followed by cell death. The effects of diarylheptanoids **5v**, **9v**, **5g** and **9g** on  $\Delta\Psi_m$  were studied in NCI-H460 and HaCaT cells after treatment with 15  $\mu\text{M}$  compounds for 24 h (the same experimental setting as for the ROS production analysis). After treatment, cells were labeled with JC-1 and analyzed by flow-cytometry or imaged live (Fig. 5). Representative flow-cytometric profiles of **9v** treated NCI-H460 and HaCaT cells are shown in Fig. 5A. Diarylheptanoid **9v** had the strongest effect on the  $\Delta\Psi_m$  in HaCaT cells which is in accordance with the pro-apoptotic and pro-oxidative potential of this compound. Less notable effect on the mitochondria was observed after **5v** treatment (Fig. 5B). Compound **9v** along with its structural analogue **9g** more prominently depolarized the mitochondrial membrane in HaCaT cells compared to **5v/5g** analogue pair which possesses a methoxy group instead of 4,5 double bond, confirming again the earlier observed SAR pattern. However, similar effects on apoptosis following the same 15  $\mu\text{M}$  treatment were not observed in this cell line. Keratinocytes are considered as highly resistant toward environmental factors including oxidative stress [51], thus the survival of HaCaT cells could be attributed to their efficient defense mechanisms against cell death. Piskounova et al. demonstrated that mitochondrial mass and  $\Delta\Psi_m$  are significantly lower in circulating melanoma cells compared to subcutaneous tumors [52]. Circulating human melanoma cells have also been shown to have significantly higher cytoplasmic ROS levels than subcutaneous tumors which have

elevated mitochondrial ROS content. Since mitochondrial respiration is one of the main sources of ROS, this raises the possibility that mitochondrial function in cells can be reduced in order to reduce ROS generation. A reduction in mitochondrial mass as a cell's compensation for increased ROS production could be one of the reasons behind the decline in  $\Delta\Psi_m$  after treatment with compounds investigated in this study. Additionally, it is possible that the majority of superoxide anions originate in the cytoplasm rather than mitochondria.

#### 4. Conclusion

Nine diarylheptanoids, including a new compound **4v**, were isolated from the bark of *A. viridis* and investigated for their pro-apoptotic potential and selectivity towards cancer cells. Significant cytotoxicity was exhibited by compounds **3v**, **5v**, **8v** and **9v**, while **2v** and **7v** showed considerable selectivity although their activity was weak. The inhibitory effect of compounds **5v** and **9v** was compared to structurally analogous diarylheptanoids **5g** and **9g** previously isolated from *A. glutinosa*. **5v** and **9v** displayed more potent cytotoxic activity than their analogues from black alder confirming that the presence of 3' and 3''-OH groups has negative influence on cytotoxic activity. Selectivity of green alder diarylheptanoids towards cancer cells was poor in comparison with their black alder analogues. Carbonyl group at C-3 also positively affected the efficiency of **3v**, **5v**, **8v** and **9v** which proved considerably more potent than compounds without a C-3 carbonyl group. 4,5 double bond instead of a methoxy group in **9v** and **9g** positively influenced cell growth inhibition and pro-apoptotic potential. Minor differences in

chemical structure had a striking effect on the ability of compounds to modify essential cellular processes including cell cycle, apoptosis and redox status, and additionally determined the selectivity of investigated compounds towards cancer cells. Since diarylheptanoids are prominent metabolites in many medicinal plants, established patterns in structure-activity relationship could be useful in identifying candidates with potentially high cytotoxicity or selectivity among a large number of isolated natural compounds for further anticancer studies. Following the findings from this investigation, chemical synthesis of diarylheptanoids more potent and selective towards cancer cells could be undertaken.

### **Acknowledgements**

This research was supported by the Ministry of Education, Science and Technological Development of Serbia (grant nos. III 41031 and 172053).

### **Conflict of interest**

The authors declare no conflict of interest.

### **Figure legends**

**Fig. 1.** Chemical structures of diarylheptanoids isolated from the barks of *A. viridis* (**A**) and *A. glutinosa* (**B**).

**Fig. 2.** Cell death induction by diarylheptanoids. Annexin-V/PI analysis was performed in NCI-H460 and HaCaT cells treated with 15  $\mu$ M and 45  $\mu$ M diarylheptanoids for 48 h. (**A**) Representative flow-cytometric profiles of **9v** treated cells. (**B**) The percentage of

viable, early apoptotic, late apoptotic and necrotic cells after treatment with **5v**, **5g**, **9v** and **9g**. 10,000 cells per each sample were analyzed for green fluorescence (Annexin-V-FITC, FL1-H) and red fluorescence (Propidium Iodide, FL2-H) by flow-cytometry.

**Fig. 3.** Analysis of the cell cycle kinetics after diarylheptanoids' treatment. Cell cycle was studied by PI binding in NCI-H460 and HaCaT cells treated with 15  $\mu$ M and 45  $\mu$ M diarylheptanoids for 48 h. **(A)** Representative flow-cytometric profiles of **9v** treated cells. Y-axis of the flow-cytometric profile represents cell numbers and X-axis shows DNA content detected by PI. **(B)** The percentage of cells in subG<sub>0</sub>, G<sub>0</sub>/G<sub>1</sub>, S and G<sub>2</sub>/M phase after treatment with **5v**, **5g**, **9v** and **9g**. Histograms illustrate one representative experiment.

**Fig. 4.** Superoxide anion production induced by diarylheptanoids. DHE labeling was performed in NCI-H460 and HaCaT cells treated with 15  $\mu$ M diarylheptanoids for 24 h. **(A)** Representative flow-cytometric profiles of **9v** treated cells. Percentages correspond to DHE stained cells within the selected region of interest. **(B)** The percentage of DHE stained cells within the selected region of interest after treatment with **5v**, **5g**, **9v** and **9g**. Statistical significance is presented as  $p < 0.0001$  (\*\*\*\*) and refers to untreated cells. **(C)** NCI-H460 and HaCaT cells labeled with DHE and imaged live on a fluorescent microscope. Scale bar = 50  $\mu$ m.

**Fig. 5.** Changes in mitochondrial transmembrane potential induced by diarylheptanoids. JC-1 labeling was performed in NCI-H460 and HaCaT cells treated with 15  $\mu$ M diarylheptanoids for 24 h. **(A)** Representative flow-cytometric profiles of **9v** treated cells. Percentages correspond to a shift in green to red fluorescence ratio in JC-1 stained cells within the selected region of interest. **(B)** The percentage of JC-1 stained cells with a shift

in green to red fluorescence ratio within the selected region of interest after treatment with **5v**, **5g**, **9v** and **9g**. Statistical significance is presented as  $p < 0.001$  (\*\*\*) and  $p < 0.0001$  (\*\*\*\*) and refers to untreated cells. (C) NCI-H460 and HaCaT cells labeled with JC-1 and imaged live on a fluorescent microscope. Loss of  $\Delta\Psi_m$  after treatment reduces the amount of mitochondrial dye aggregates (red), which is accompanied by accumulation of monomeric form of JC-1 in the cytoplasm (green). Scale bar = 50  $\mu\text{m}$ .

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**Table 1.** Cytotoxic effect and selectivity of diarylheptanoids from *A. viridis* compared to diarylheptanoids from *A. glutinosa* [16] in NCI-H460 and HaCaT cells.

Species	Compound	IC <sub>50</sub> (μM) <sup>a</sup> NCI-H460	IC <sub>50</sub> (μM) <sup>a</sup> HaCaT
<i>A. viridis</i>	<b>1v</b>	295.5 ± 11.0	118.4 ± 5.0 <sup>####</sup>
	<b>2v</b>	69.5 ± 4.5	132.5 ± 12.0 <sup>****</sup>
	<b>3v</b>	21.8 ± 0.8	7.0 ± 0.2 <sup>#</sup>
	<b>4v</b>	388.9 ± 4.0	128.9 ± 5.0 <sup>####</sup>
	<b>5v</b>	14.4 ± 0.3	8.9 ± 0.8
	<b>6v</b>	167.9 ± 6.0	147.4 ± 3.0 <sup>###</sup>
	<b>7v</b>	85.5 ± 17.0	152.4 ± 4.0 <sup>****</sup>
	<b>8v</b>	31.8 ± 2.3	34.9 ± 1.5
	<b>9v</b>	8.2 ± 0.1	9.1 ± 0.6
<i>A. glutinosa</i>	<b>3g</b>	19.9 ± 1.1	31.3 ± 1.4
	<b>5g</b>	29.7 ± 0.7	141.9 ± 1.7 <sup>****</sup>
	<b>8g</b>	28.1 ± 1.3	8.7 ± 2.3 <sup>###</sup>
	<b>9g</b>	19.2 ± 0.3	43.2 ± 1.9 <sup>****</sup>

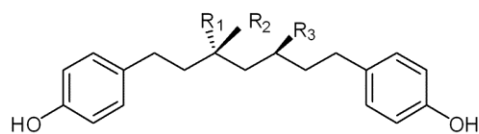
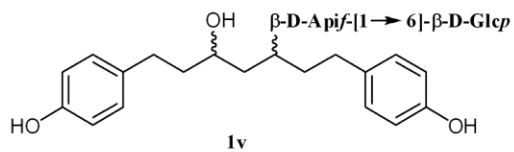
<sup>a</sup> IC<sub>50</sub> values were calculated from three independent experiments (average ± standard deviation).

\* selectivity

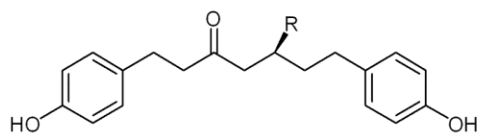
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Figure 1.

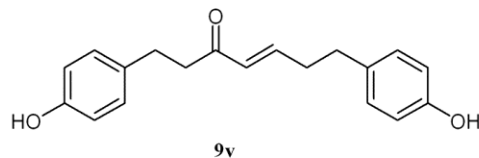
**A**



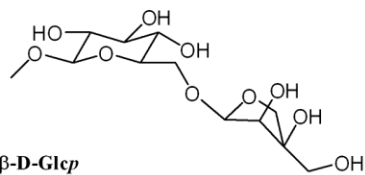
**2v:**  $R_1 = \beta$ -D-Apif-[1  $\rightarrow$  6]- $\beta$ -D-Glcp;  $R_2 = H$ ;  $R_3 = H$   
**4v:**  $R_1 = H$ ;  $R_2 = \alpha$ -L-Araf-[1  $\rightarrow$  6]- $\beta$ -D-Glcp;  $R_3 = H$   
**6v:**  $R_1 = \beta$ -D-Glcp;  $R_2 = H$ ;  $R_3 = OH$   
**7v:**  $R_1 = \alpha$ -L-Araf-[1  $\rightarrow$  6]- $\beta$ -D-Glcp;  $R_2 = H$ ;  $R_3 = H$



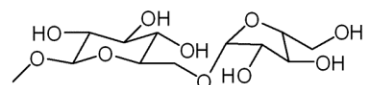
**3v:**  $R = \beta$ -D-Apif-[1  $\rightarrow$  6]- $\beta$ -D-Glcp  
**5v:**  $R = OMe$   
**8v:**  $R = \beta$ -D-Glcp-6-galloyl



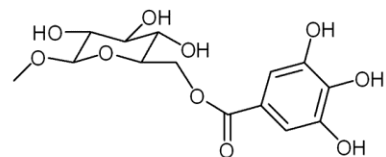
$\beta$ -D-Apif-[1  $\rightarrow$  6]- $\beta$ -D-Glcp



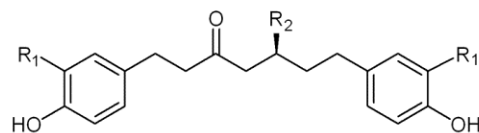
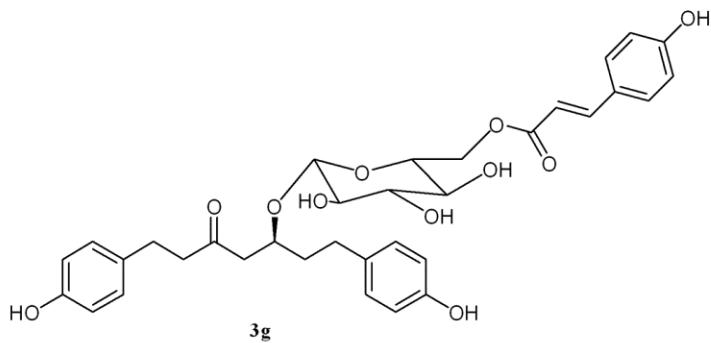
$\alpha$ -L-Araf-[1  $\rightarrow$  6]- $\beta$ -D-Glcp



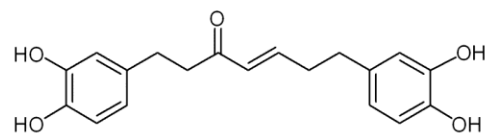
$\beta$ -D-Glcp-6-galloyl



**B**



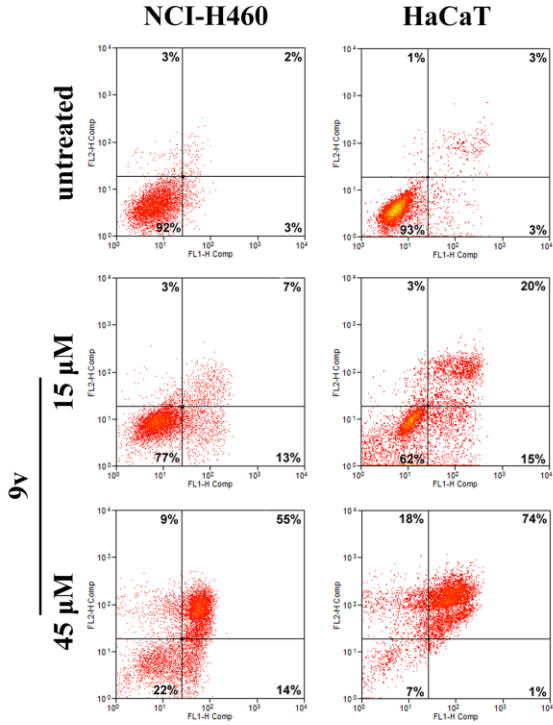
**5g:**  $R_1 = OH$ ;  $R_2 = OMe$   
**8g:**  $R_1 = OH$ ;  $R_2 = \beta$ -D-Glcp



**9g**

Figure 2.

**A**



**B**

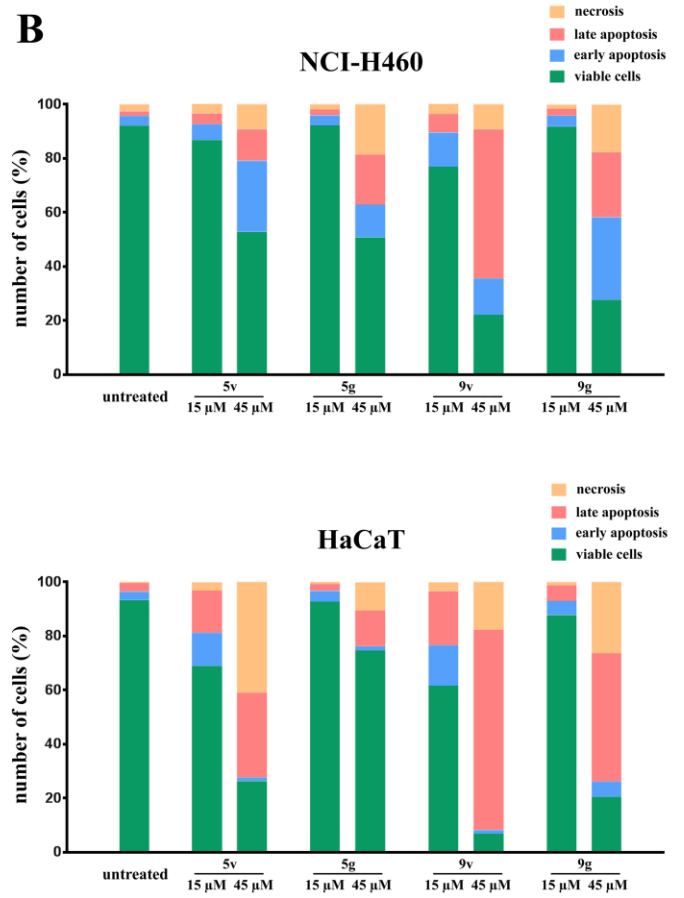


Figure 3.

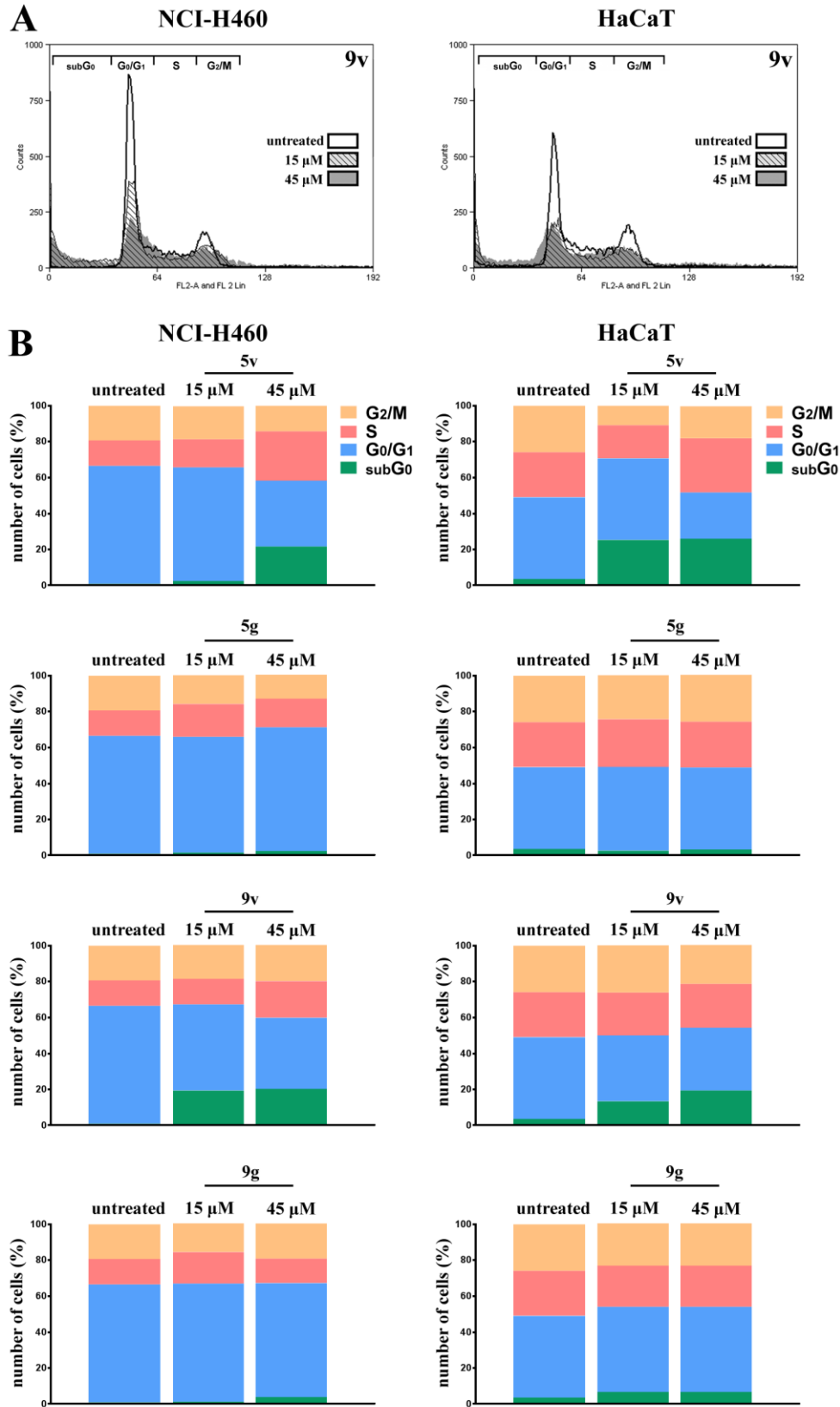
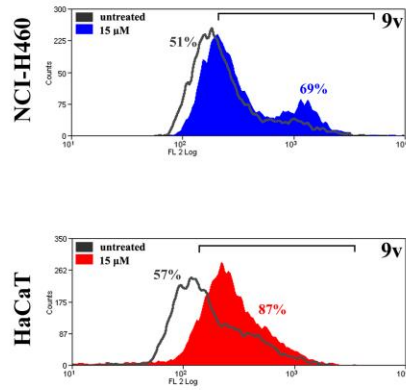
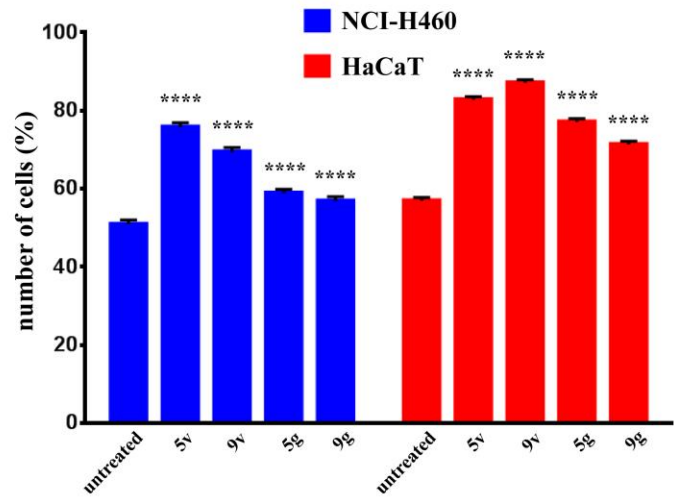


Figure 4.

**A**



**B**



**C**

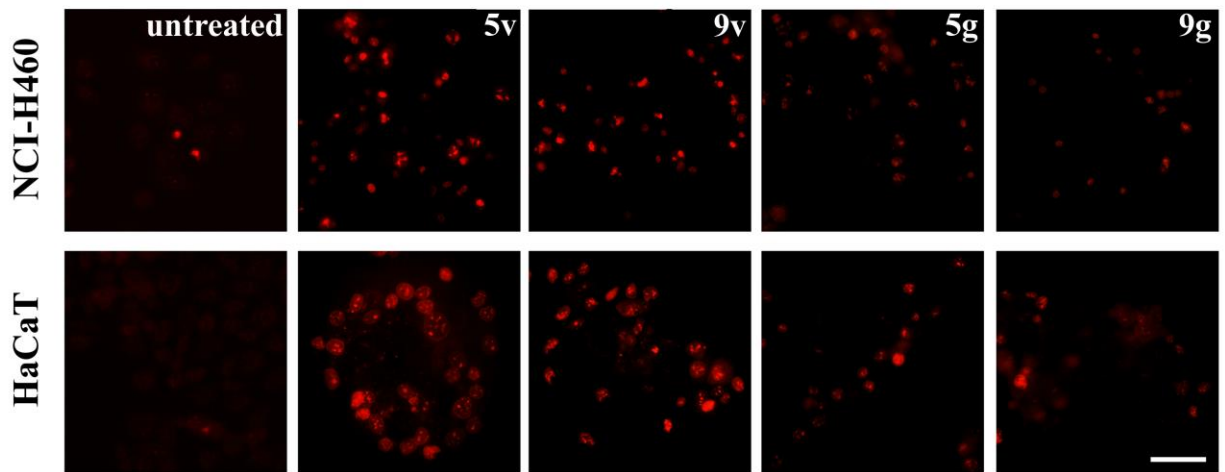
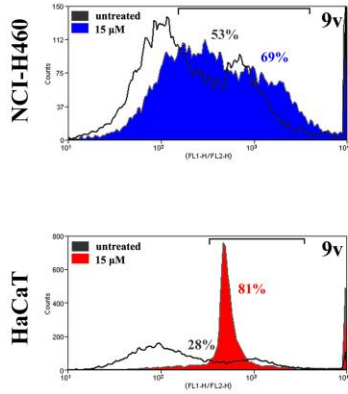


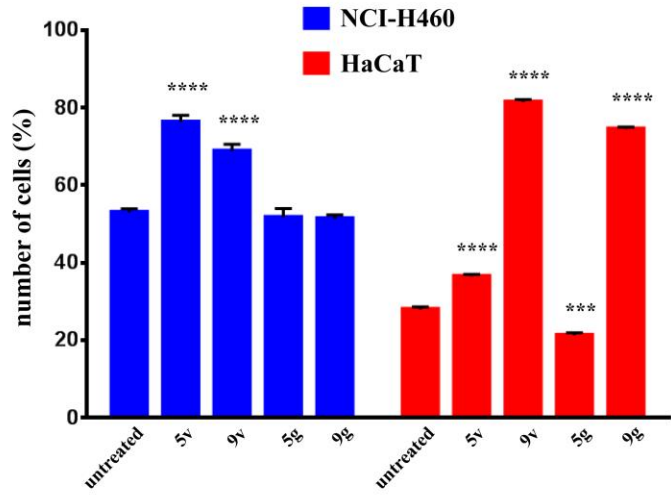


Figure 5.

**A**



**B**



**C**

